### STEPHENS CHRISTOPHER J

Form 4

February 27, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

BARNES GROUP INC [B]

OMB

3235-0287 Number: Expires:

**OMB APPROVAL** 

January 31, 2005

Estimated average burden hours per

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

STEPHENS CHRISTOPHER J

									(Click	k an applicat	)ic)
(Last) (First) (Middle)  BARNES GROUP INC., 123 MAIN STREET			3. Date of Earliest Transaction (Month/Day/Year) 02/26/2019								
								Director 10% Owner _X_ Officer (give title Other (specify below)  SVP, Finance and CFO			
	DDICTOL	(Street)			endment, lonth/Day/Ye	_	nal		6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by N	One Reporting	Person
	BRISTOL,	C1 06010							Person		1 0
	(City)	(State)	(Zip)	Tak	ole I - Non	-Derivativ	e Seco	urities Acqu	iired, Disposed o	f, or Benefici	ally Owned
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transacti Code (Instr. 8)	4. Securi or Dispo (Instr. 3,	sed of 4 and		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
	Common Stock	02/26/2019			M	9,400	A	\$ 37.13	120,250.13 (1)	D	
	Common Stock	02/26/2019			M	3,800	A	\$ 37.13	124,050.13 (1)	D	
	Common Stock	02/26/2019			S(2)	4,496	D	\$ 58.4659	119,554.13 (1)	D	
	Common Stock	02/26/2019			S(3)	8,704	D	\$ 59.1892	110,850.13 (1)	D	
	Common Stock								2,111.48	I	By Company's 401(k) Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option-Right to Buy	\$ 37.13	02/26/2019		M	9,400	<u>(4)</u>	02/12/2024	Common Stock	9,400
Stock Option-Right to Buy	\$ 37.13	02/26/2019		M	3,800	<u>(4)</u>	02/12/2024	Common Stock	3,800

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

STEPHENS CHRISTOPHER J BARNES GROUP INC. 123 MAIN STREET BRISTOL, CT 06010

SVP, Finance and CFO

### **Signatures**

Patricia A. Bradley, pursuant to a Power of Attorney 02/27/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes balances of 2333 Restricted Stock Units granted 2/10/2016, 3199 granted 2/8/2017, 4300 granted 2/87/2018, and 5000 granted 2/13/2019, that are subject to forfeiture if certain events occur.

Reporting Owners 2

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- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$58.34 to \$58.68, inclusive. The reporting person undertakes to provide to Barnes Group Inc., any security holder of Barnes Group Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$58.69 to \$59.65, inclusive. The reporting person undertakes to provide to Barnes Group Inc., any security holder of Barnes Group Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- (4) The options vested 33.334% on the 18th month and 33.333% on the 30th and 42nd months from the 2/12/2014 grant date.
- (5) 1 for 1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.