

# Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP  
Form 4  
May 11, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

- Name and Address of Reporting Person  
Poe, Alfred  
c/o State Street Corporation  
225 Franklin Street  
Boston, MA 02110
- Issuer Name and Ticker or Trading Symbol  
State Street Corporation  
STT
- IRS or Social Security Number of Reporting Person (Voluntary)
- Statement for Month/Year  
4/30/01
- If Amendment, Date of Original (Month/Year)
- Relationship of Reporting Person(s) to Issuer (Check all applicable)  
(X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
(specify below)
- Individual or Joint/Group Filing (Check Applicable Line)  
(X) Form filed by One Reporting Person  
( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Transaction Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------------------|---------------------------------------------------------|------------------------------------------------------------|
| Common Stock         | 4/18/01             | A(1)V               | 478                                                     |                                                            |
| Common Stock         | 4/18/01             | A(2)V               | 478                                                     | 8,933(3)                                                   |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call or Other Feature |
|---------------------------------|--------------------------------------------------------|---------------------|---------------------|--------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------|---------------------------------|
|                                 |                                                        |                     |                     |                                                                    |                                                          | Title and Number of Shares                   |                                 |

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|  |      |      |        |        |  |     |  |  |  |
|--|------|------|--------|--------|--|-----|--|--|--|
|  | rity | Date | Code V | Amount |  | ble |  |  |  |
|  |      |      |        |        |  |     |  |  |  |

Explanation of Responses:

- (1) Payment of director's retainer.
- (2) Payment of Directors' Deferred Stock Award exempt under Rule 16b-3(d).
- (3) Includes Directors' Deferred Stock Award dividend equivalents.

SIGNATURE OF REPORTING PERSON

/s/Alfred Poe

DATE \_\_\_\_\_

5/3/01