

HEAT BIOLOGICS, INC.
 Form 3
 January 08, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---------|----------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â ARISTAR CAPITAL MANAGEMENT, LLC | | | (Month/Day/Year) | HEAT BIOLOGICS, INC. [HTBX] | |
| (Last) | (First) | (Middle) | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| 1120 AVENUE OF THE AMERICAS,Â SUITE 1514 | | | | (Check all applicable) | |
| (Street) | | | | <input type="checkbox"/> Director | <input checked="" type="checkbox"/> 10% Owner |
| NEW YORK,Â NYÂ 10036 | | | | <input type="checkbox"/> Officer | <input type="checkbox"/> Other |
| (City) | (State) | (Zip) | | (give title below) | (specify below) |
| | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| | | | | <input type="checkbox"/> Form filed by One Reporting Person | |
| | | | | <input checked="" type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 697,303 | I | See Footnote ⁽¹⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) |
|------------------|-----------------|-------|----------------------------|----------|---------------------------------------|
|------------------|-----------------|-------|----------------------------|----------|---------------------------------------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| ARISTAR CAPITAL MANAGEMENT, LLC 1120 AVENUE OF THE AMERICAS SUITE 1514 NEW YORK, NY 10036 | Â | Â X | Â | Â |
| ARISTAR HEAT, LLC 1120 AVENUE OF THE AMERICAS SUITE 1514 NEW YORK, NY 10036 | Â | Â X | Â | Â |
| ARISTAR CAPITAL MANAGEMENT GP, LLC 1120 AVENUE OF THE AMERICAS SUITE 1514 NEW YORK, NY 10036 | Â | Â X | Â | Â |

Signatures

| | |
|--|------------|
| Aristar Capital Management, LLC By: /s/ Edward B. Smith, III Edward B. Smith, III Managing Member | 01/08/2015 |
| Signature of Reporting Person | Date |
| Aristar Heat, LLC By: /s/ Aristar Capital Management GP, LLC, its Managing Member Edward B. Smith, III | 01/08/2015 |
| Signature of Reporting Person | Date |
| Aristar Capital Management GP, LLC By: /s/ Edward B. Smith, III Edward B. Smith, III Managing Member | 01/08/2015 |
| Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
The securities are held in the account of Aristar Ventures III, LLC ("Aristar Ventures III") and may be deemed to be owned by (a) Aristar Capital Management, LLC, the investment manager of Aristar Ventures III, (b) Aristar Heat, LLC, the managing member of Aristar Ventures III, (c) Aristar Capital Management GP, LLC, the managing member of Aristar Heat, LLC and (d) Edward B. Smith III, the managing member of Aristar Capital Management, LLC. Each reporting person disclaims beneficial ownership of these reported securities except to the extent of its pecuniary interest therein, and this report shall not be deemed an admission that any such person is the beneficial owner of these securities for purposes of Section 16 of the U.S. Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.