

KISSAM LUTHER C IV

Form 4

February 28, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KISSAM LUTHER C IV

2. Issuer Name **and** Ticker or Trading  
Symbol  
ALBEMARLE CORP [ALB]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
ALBEMARLE  
CORPORATION, 4250 CONGRESS  
STREET, SUITE 900

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/26/2019

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman, President & CEO

(Street)  
CHARLOTTE, NC 28209

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       |                                         |                                                             |                                         | (A)<br>or<br>(D)                                                        |                                                                                                                    |                                                                            | Albemarle<br>Savings<br>Plan <sup>(1)</sup>                       |
| Common<br>Stock                       | 02/26/2019                              |                                                             | M                                       | 35,360<br>(2)                                                           | A \$ 0 308,963                                                                                                     | D                                                                          |                                                                   |
| Common<br>Stock                       | 02/26/2019                              |                                                             | F                                       | 15,806<br>(2)                                                           | D \$ 91.57 293,157                                                                                                 | D                                                                          |                                                                   |
| Common<br>Stock                       | 02/26/2019                              |                                                             | M                                       | 8,841<br>(3)                                                            | A \$ 0 301,998                                                                                                     | D                                                                          |                                                                   |
|                                       | 02/26/2019                              |                                                             | F                                       |                                                                         | D 298,046                                                                                                          | D                                                                          |                                                                   |

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|        |            |       |
|--------|------------|-------|
| Common | 3,952      | \$    |
| Stock  | <u>(2)</u> | 91.57 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |               | 7. Title and A<br>Underlying S<br>(Instr. 3 and 4) |                 |                 |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------|----------------------------------------------------|-----------------|-----------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                      | (A)                                                            | (D)           |                                                    |                 |                 |
| Performance<br>Units                                | (4)                                                                | 02/26/2019                              |                                                             | A                                    |                                                                                                        | 70,720                                                         | (2)           | (5)                                                | Common<br>Stock |                 |
| Performance<br>Units                                | (4)                                                                | 02/26/2019                              |                                                             | M                                    |                                                                                                        |                                                                | 35,360<br>(4) | (2)                                                | (5)             | Common<br>Stock |
| Restricted<br>Stock Unit                            | (6)                                                                | 02/26/2019                              |                                                             | M                                    |                                                                                                        |                                                                | 8,841         | (3)                                                | (3)             | Common<br>Stock |
| Restricted<br>Stock Unit                            | (6)                                                                | 02/26/2019                              |                                                             | A                                    |                                                                                                        | 12,364                                                         |               | (7)                                                | (5)             | Common<br>Stock |
| Stock<br>Options                                    | \$ 91                                                              | 02/26/2019                              |                                                             | A                                    |                                                                                                        | 40,600                                                         |               | 02/26/2022                                         | 02/26/2029      | Common<br>Stock |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

KISSAM LUTHER C IV  
ALBEMARLE CORPORATION  
4250 CONGRESS STREET, SUITE 900  
CHARLOTTE, NC 28209

X

Chairman, President & CEO

## Signatures

/s/ Ander Krupa,  
Attorney-in-fact 02/28/2019

                    Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total number of shares of Common Stock held in the name of the Reporting Person under the Albemarle Corporation Retirement Savings Plan (the "Albemarle Savings Plan") as of the date of this Form 4.
- (2) Performance Units granted on 2/26/2016. First 50% vested on 2/26/2019 and second 50% vests on 2/26/2020. Shares withheld to meet tax liabilities associated with such vested Performance Units.
- (3) On February 26, 2016, the reporting person was granted 17,682 restricted stock units, vesting on each of the third and fourth anniversary of the grant date in 2019 and 2020.
- (4) Each Performance Unit converts to 1 share of Common Stock.
- (5) No expiration date.
- (6) Each Restricted Stock Unit converts to 1 share of Common Stock.
- (7) One-half of the award amount earned will vest on 2/26/2022 and the remaining half will vest on 2/26/2023.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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