LIGHTPATH TECHNOLOGIES INC Form 8-K June 15, 2009

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report: June 15, 2009 (Date of earliest event reported)

LightPath Technologies Inc. (Exact name of registrant as specified in its charter) FL (State or other jurisdiction of incorporation) 000-27548 (Commission File Number) 86-0708398 (IRS Employer Identification Number) 2603 Challenger Tech CT #100 (Address of principal executive offices) 32826 (Zip Code) 407-382-4003 (Registrant's telephone number, including area code) Not Applicable (Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12) o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

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o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 8.01. Other Events

The Registrant issued a Press Release on June 15, 2009 announcing its master distributor contract designating AMS Technologies to be the excusive distributor for LightPath Technologies products throughout Europe. LightPath Technologies Inc. also announced it will be exhibiting their new Infrared Lens Assembly at the LASER World of Photonics Conference in Munich, Germany from June 15th through June 18th. LightPath's Booth is in Hall B1, Booth 301. A copy of the Press Release is attached as Exhibit 99.1 to this Report.

#### Item 9.01. Financial Statements and Exhibits

(a) Financial statements: None
(b) Pro forma financial information: None
(c) Shell company transactions: None
(d) Exhibits 99.1 Press Release of LightPath Technologies Inc. dated June 15, 2009

#### SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### Dated: June 15, 2009 LIGHTPATH TECHNOLOGIES INC.

By: /s/ Dorothy Cipolla\_

Dorothy Cipolla CFO

Exhibit Index Exhibit No. Description 99.1 Press Release of LightPath Technologies Inc. dated June 15, 2009 -right-width: 1; border-bottom-width: 1"> 2. Issuer Name and Ticker or Trading Symbol NEUROCRINE BIOSCIENCES INC [NBIX] 5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_X\_\_ Officer (give title below)

(Last)

\_\_\_\_\_ 10% Owner \_\_\_\_\_ Other (specify below) Chief Development Officer (First)

(Middle)

NEUROCRINE BIOSCIENCES, INC., 12780 EL CAMINO REAL 3. Date of Earliest Transaction (Month/Day/Year) 02/03/2015

(Street)

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#### SAN DIEGO, CA 92130 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of<br>Security | 2. Transaction Date<br>(Month/Dav/Year) | 2A. Deemed<br>Execution Date, if | 3.<br>Transactio | 4. Securities<br>onAcquired (A) or | 5. Amount of<br>Securities | 6. Ownership<br>Form: Direct | 7. Nature of Indirect |
|------------------------|---|----------------------------------|------------------|------------------------------------|----------------------------|------------------------------|-----------------------|
| (Instr. 3)             | (Wolitil/Day/Tear)                      | any                              | Code             | Disposed of (D)                    | Beneficially               | (D) or Indirect              |                       |
| (11501.5)              |   | (Month/Day/Year)                 | (Instr. 8)       | (Instr. 3, 4 and 5)                | Owned                      | (I)                          | Ownership             |
|                        |   |                                  | · · · ·          |                                    | Following                  | (Instr. 4)                   | (Instr. 4)            |
|                        |   |                                  |                  | ( <b>A</b> )                       | Reported                   |                              |                       |
|                        |   |                                  |                  | (A)<br>or                          | Transaction(s)             |                              |                       |
|                        |   | Coo                              | Code V           | Amount (D) Price                   | (Instr. 3 and 4)           |                              |                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Stock Unit                            | <u>(1)</u>  | 02/03/2015                              |   | А                                      | 11,000   | (2)  | (2)                | Common<br>Stock   | 11,000                              |
| Stock<br>Option                                     | \$ 32.99  | 02/03/2015                              |   | А                                      | 65,000   | (3)  | 02/03/2025         | Common<br>Stock   | 65,000                              |

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                           |       |  |  |
|---|---------------|-----------|---------------------------|-------|--|--|
| T g the test  | Director      | 10% Owner | Officer                   | Other |  |  |
| Bozigian Haig P.<br>NEUROCRINE BIOSCIENCES, INC.<br>12780 EL CAMINO REAL<br>SAN DIEGO, CA 92130 |               |           | Chief Development Officer |       |  |  |

## Signatures

Darin Lippolt, By Power of Attorney

02/05/2015

<u>\*\*</u>Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of the Neurocrine Common Stock.
- (2) The Restricted Stock Units will vest annually at 1/4 of the units vesting on February 3, 2016, February 3, 2017, February 3, 2018 and February 3, 2019.
- (3) Represents option of which 1/48th of the shares underlying the option becomes vested and exercisable on March 3, 2015 and an additional 1/48th of the shares underlying the option becomes vested and exercisable each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.