

Edgar Filing: ANIKA THERAPEUTICS INC - Form 11-K

ANIKA THERAPEUTICS INC  
Form 11-K  
June 27, 2002

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549  
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FORM 11-K

ANNUAL REPORT  
PURSUANT TO SECTION 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934

(Mark One:)

ANNUAL REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE ACT  
OF 1934 (NO FEE REQUIRED)

For the fiscal year ended December 31, 2001

OR

TRANSITION REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE ACT  
OF 1934 (NO FEE REQUIRED)

For the transition period from \_\_\_\_\_ to \_\_\_\_\_

Commission file number: 000-21326

A. Full title of the plan and the address of the plan, if different from  
that of the issuer named below:

Anika Therapeutics, Inc.  
Employee Savings and Retirement Plan

B. Name of issuer of the securities held pursuant to the plan and the  
address of its principal executive office:

Anika Therapeutics, Inc.  
236 West Cummings Park  
Woburn, MA 01801  
(781) 932-6616

REQUIRED INFORMATION

Item 4. Plan financial statements and schedule for the year ended December 31,  
2001 have been prepared in accordance with the financial reporting requirements  
of ERISA.

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Statements of Net Assets Available for Benefits as of December 31, 2001  
and 2000

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Statement of Changes in Net Assets Available for Benefits for  
the year ended December 31, 2001

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Signature

THE PLAN. Pursuant to the requirements of the Securities Exchange Act of 1934, the trustees have duly caused this annual report to be signed on their behalf by the undersigned hereunto duly authorized.

Anika Therapeutics, Inc.  
Employee Savings and Retirement Plan

By: /s/ Douglas R. Potter

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Douglas R. Potter, Trustee

Dated: June 27, 2002

Anika Therapeutics, Inc.  
Employee Savings & Retirement Plan

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS  
AS OF DECEMBER 31, 2001 and 2000

|                                       | 2001        | 2000        |
|---------------------------------------|-------------|-------------|
| INVESTMENTS                           |             |             |
| Participant directed, at fair value - |             |             |
| Money market fund                     | \$ 297,788  | \$ 193,325  |
| Employer common stock                 | 68,422      | 57,259      |
| Mutual funds                          | 1,229,504   | 1,238,389   |
| Participant loans                     | 84,839      | 100,074     |
|                                       | -----       | -----       |
| Total investments                     | 1,680,553   | 1,589,047   |
| CONTRIBUTIONS RECEIVABLE              | 22,396      | 13,556      |
|                                       | -----       | -----       |
| NET ASSETS AVAILABLE FOR BENEFITS     | \$1,702,949 | \$1,602,603 |
|                                       | =====       | =====       |

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Anika Therapeutics, Inc.  
Employee Savings & Retirement Plan

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS  
FOR THE YEAR ENDED DECEMBER 31, 2001

|  |         |
|--|---------|
|  | 20      |
| Interest & dividends                                     | \$ 44   |
|  | -----   |
| Contributions by:  |         |
| Employees  | 296     |
| Employer   | 186     |
| Rollover distributions, net                              | (7)     |
|  | -----   |
| Total contributions                                      | 475     |
|  | -----   |
| Total additions  | 519     |
|  | -----   |
| Less:  |         |
| Distributions to former participants                     | 215     |
|  | -----   |
| Net increase (decrease) before realized gains and losses | 304     |
| Net realized and unrealized investment gains and losses  | (204)   |
|  | -----   |
| Net decrease in net assets                               | 100     |
| Net assets available for benefits, beginning of year     | 1,602   |
|  | -----   |
| Net assets available for benefits, end of year           | \$1,702 |
|  | =====   |