

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC
Form 13F-HR
May 11, 2009

OMB APPROVAL

OMB Number:
Expires:
Estimated average burden
hours per response.....

SEC USE ONLY

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 13F

FORM 13f Cover Page

Report for the Calendar Year or Quarter Ended March 31, 2009

If amended report check here: Amendment Number: _____

This Amendment (Check only one): is a restatement
 adds new holding
entries.

PRIOR FILINGS FOR THE NOMURA GROUP WERE REPORTED UNDER THE NAME NOMURA SECURITIES COMPANY, WITH CIK NUMBER 0000110097. SUBSEQUENT FILINGS WILL BE MADE UNDER THE NAME NOMURA HOLDINGS, INC., CIK NUMBER 0001163653. NOMURA HOLDINGS, INC. (NHI) IS THE PARENT HOLDING COMPANY OF A NUMBER OF OPERATING SUBSIDIARIES, SOME OF WHICH ARE OR MAY BE INSTITUTIONAL INVESTMENT MANAGERS FOR THE PURPOSES OF THE REPORTING REQUIREMENTS UNDER SECTION 13(F) OF THE SECURITIES EXCHANGE ACT OF 1934 (THE ACT) AND THE RULES PROMULGATED THEREUNDER. NHI ITSELF DOES NOT DIRECTLY EXERCISE INVESTMENT DISCRETION WITH RESPECT TO ANY SECTION 13(F) SECURITIES POSITIONS. IN THAT NHI'S OWNERSHIP INTEREST IN SUCH OPERATING SUBSIDIARIES IS DEEMED THE EXERCISE OF INVESTMENT DISCRETION REGARDING CERTAIN OF SUCH SUBSIDIARIES' MANAGED ACCOUNTS, THE INFORMATION REQUIRED BY FORM 13F IS REPORTED BY NHI ON BEHALF OF CERTAIN OF NHI'S OPERATING SUBSIDIARIES WHICH ARE INSTITUTIONAL INVESTMENT MANAGERS SUBJECT TO SECTION 13(F) OF THE ACT.

Nomura Holdings Inc.

Name of Institutional Investment Manager

1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan

Business Address (Street) (City) (State) (Zip)

13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Brendan G. Daly Head of Compliance and Surveillance 212-667-1246

Name (Title) (Phone)

/s/ Brendan G. Daly

(Manual Signature of Person Duly Authorized to Submit This Report)

New York, NY May 11, 2009

(Place and Date of Signing)

Report Type:

- [] 13F HOLDINGS REPORT.
[] 13F NOTICE.
[X] 13F COMBINATION REPORT.

Form 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 4

Form 13F Information Table Entry Total: 32

Form 13F Information Table Value Total: \$29,438 (thousands)

List of Other Included Managers:

Name and 13F file numbers of ALL Institutional Investment Managers with respect to which this schedule is filed (other than the one filing this report): (List in alphabetical order).

13F File No.: Name:

- 6. 28-05739 Global Funds Management, S.A.

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

2. 28-04985 Nomura International plc

4. 28-04993 Nomura Securities (Bermuda)

1. 28-04983 Nomura Securities International, Inc.

1Page 1

FORM 13F
INFORMATION TABLE
QUARTER ENDING
3/31/2009

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE X1000	SHARES PRN AMT	SH PRN	PUT CALL	INVSTMT DISCRETN	OT MAN
0AIRMEDIA GROUP INC		009411109	1,139	000000271900	SH		DEFINED	02
ALLIS CHALMERS ENERGY INC		019645506	37	000000019400	SH		DEFINED	01
ARMSTRONG WORLD INDS INC NEW		04247X102	436	000000039637	SH		DEFINED	01
BAIDU INC		056752108	1,543	000000008920	SH		DEFINED	01
COMCAST CORP NEW		20030N200	134	000000010443	SH		DEFINED	01
ORE MARK HOLDING CO INC		218681104	1,061	000000058235	SH		DEFINED	01
DANA HOLDING CORP		235825205	378	000000820656	SH		DEFINED	01
DELTA AIR LINES INC DEL		247361702	382	000000067861	SH		DEFINED	01
DONNELLEY R R & SONS CO		257867101	164	000000022400	SH		DEFINED	01
FTI CONSULTING INC		302941109	440	000000008900	SH		DEFINED	01
EDERAL MOGUL CORP		313549404	2,225	000000333160	SH		DEFINED	01
GRACE W R & CO DEL NEW		38388F108	83	000000013221	SH		DEFINED	01
HORNBECK OFFSHORE SVCS INC N		440543106	173	000000011388	SH		DEFINED	01
HUNTSMAN CORP		447011107	165	000000052990	SH		DEFINED	01
IDENIX PHARMACEUTICALS INC		45166R204	928	000000301476	SH		DEFINED	02
INNOPHOS HOLDINGS INC		45774N108	264	000000023409	SH		DEFINED	01
ISHARES TR		464288513	515	000000007600	SH		DEFINED	01
JPMORGAN CHASE & CO		46625H100	265	000000010000	SH		DEFINED	01
EDCATH CORP		58404W109	131	000000018047	SH		DEFINED	01
NRG ENERGY INC		629377508	322	000000018350	SH		DEFINED	01
NYSE EURONEXT		629491101	899	000000052400	SH		DEFINED	01
OWENS CORNING NEW		690742101	437	000000048390	SH		DEFINED	01
PRUDENTIAL PLC		74435K204	11,396	000001296517	SH		DEFINED	01
SCOTTS MIRACLE GRO CO		810186106	246	000000007090	SH		DEFINED	01
SELECT SECTOR SPDR TR		81369Y308	321	000000015252	SH		DEFINED	02
SOLUTIA INC		834376501	477	000000255491	SH		DEFINED	01
SUPERIOR INDS INTL INC		868168105	195	000000016500	SH		DEFINED	01
TAIWAN SEMICONDUCTOR MFG LTD		874039100	969	000000109000	SH		DEFINED	01
ARGACEPT INC		87611R306	3,190	000001190476	SH		DEFINED	02
TYSON FOODS INC		902494103	176	000000018813	SH		DEFINED	02
VIRGIN MEDIA INC		92769L101	146	000000030556	SH		DEFINED	01
WINN DIXIE STORES INC		974280307	187	000000019660	SH		DEFINED	01