

BOTHA ROELOF
Form 4
March 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BOTHA ROELOF

(Last) (First) (Middle)

C/O SEQUOIA CAPITAL,, 2800
SAND HILL ROAD SUITE 101

(Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Square, Inc. [SQ]

3. Date of Earliest Transaction
(Month/Day/Year)
02/28/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	02/28/2019		C	4,169,733 A	\$ 0 4,169,733	I	By Sequoia Capital U.S. Venture 2010 Fund, LP ⁽¹⁾
Class A Common Stock	02/28/2019		C	456,279 A	\$ 0 456,279	I	By Sequoia Capital U.S. Venture 2010 Partners

Edgar Filing: BOTHA ROELOF - Form 4

Class A Common Stock	02/28/2019	C	92,481	A	\$ 0	92,481	I	Fund (Q), LP ⁽¹⁾ By Sequoia Capital U.S. Venture 2010 Partners Fund, LP <u>(1)</u>
Class A Common Stock	02/28/2019	J ⁽²⁾	4,169,733	D	\$ 0	0	I	By Sequoia Capital U.S. Venture 2010 Fund, LP ⁽¹⁾
Class A Common Stock	02/28/2019	J ⁽²⁾	456,279	D	\$ 0	0	I	By Sequoia Capital U.S. Venture 2010 Partners Fund (Q), LP ⁽¹⁾
Class A Common Stock	02/28/2019	J ⁽²⁾	92,481	D	\$ 0	0	I	By Sequoia Capital U.S. Venture 2010 Partners Fund, LP <u>(1)</u>
Class A Common Stock	02/28/2019	J ⁽²⁾	269,993	D	\$ 0	449,988	I	By Sequoia Capital U.S. Venture 2010-Seed Fund, L.P. <u>(1)</u>
Class A Common Stock	02/28/2019	J ⁽³⁾	187,882	A	\$ 0	315,113	I	By estate planning vehicle
Class A Common Stock						49,567	D	
Class A Common						858,081	I	By Sequoia Capital

Stock												U.S. Growth Fund IV, L.P. ⁽⁴⁾
Class A Common Stock										35,657	I	By Sequoia Capital USGF Principals Fund IV, L.P. ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(5)</u>	02/28/2019		C	4,169,733	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	4,169,733
Class B Common Stock	<u>(5)</u>	02/28/2019		C	456,279	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	456,279
Class B Common	<u>(5)</u>	02/28/2019		C	92,481	<u>(5)</u>	<u>(5)</u>	Class A Common	92,481

