

LICH BRAD A
Form 4
May 10, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LICH BRAD A

2. Issuer Name and Ticker or Trading Symbol
EASTMAN CHEMICAL CO [EMN]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
200 SOUTH WILCOX
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/08/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP & CCO

KINGSPORT, TN 37660

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	05/08/2018		S		10,963	D	Indirect Beneficial Ownership (Instr. 4)
					\$ 105.54		
					(1)		
Common Stock	05/08/2018		M		1,805	A	Direct (D) Ownership (Instr. 4)
					\$ 39.84		
Common Stock	05/08/2018		S		1,805	D	Indirect Beneficial Ownership (Instr. 4)
					\$ 105.75		
Common Stock	05/09/2018		S		5,669	D	Indirect Beneficial Ownership (Instr. 4)
					\$ 105.75		
Common Stock	05/09/2018		M		1,263	A	Direct (D) Ownership (Instr. 4)
					\$ 39.84		

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Common Stock	05/09/2018	S	1,263	D	\$ 105.75	13,328	D	
Common Stock	05/09/2018	M	5,134	A	\$ 38.3	18,462	D	
Common Stock	05/09/2018	S	5,134	D	\$ 105.75	13,328	D	
Common Stock						953	I	By ESOP
Common Stock						1,956 ⁽²⁾	I	By 401 (k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 39.84	05/08/2018		M	1,805	11/02/2013	11/01/2020	Common Stock	1,805
Employee Stock Option (right to buy)	\$ 39.84	05/09/2018		M	1,263	11/02/2013	11/01/2020	Common Stock	1,263
Employee Stock Option (right to buy)	\$ 38.3	05/09/2018		M	5,134	11/01/2014	10/31/2021	Common Stock	5,134

