

WIPRO LTD

Form 3

February 09, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Wipro LLC

(Last)

(First)

(Middle)

2 TOWER CENTER BLVD,
SUITE 2200

(Street)

EAST

BRUNSWICK, NJ 08816

(City)

(State)

(Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/30/2018

3. Issuer Name and Ticker or Trading Symbol
HARTE HANKS INC [HHS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ☒ 10% Owner
____ Officer ____ Other
(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
____ Form filed by One Reporting Person
☒ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

(Instr. 5)

Series A Convertible Preferred Stock	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	10,016,586	\$ <u>(1)</u>	D <u>(2)</u>	Â
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Wipro LLC 2 TOWER CENTER BLVD, SUITE 2200 EAST BRUNSWICK, NJ 08816	Â	Â X	Â	Â
WIPRO LTD DODDAKANNELLI, SARJAPUR ROAD BANGALORE- 560 035, K7	Â	Â X	Â	Â

Signatures

WIPRO LLC, By: , Name: Ashish Chawla, Title: Manager	02/09/2018
__Signature of Reporting Person	Date

WIPRO LIMITED, By: , Name: Jatin Pravinchandra Dalal, Title: Chief Financial Officer	02/09/2018
__Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Series A Convertible Preferred Stock is convertible into Common Stock at the rate of approximately one share of Series A Convertible Preferred Stock for 1,009.1261 shares of Common Stock. The actual number shares of Common Stock may differ by approximately 500 shares. As of the date hereof, Wipro LLC owns 9,926 shares of Series A Convertible Preferred Stock.

(1) This report on Form 3 is jointly filed by Wipro LLC and Wipro Limited. These shares are owned directly by Wipro LLC, a wholly-owned subsidiary of Wipro Limited. Wipro Limited may be deemed for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, to be the indirect beneficial owner of all of the shares owned by Wipro LLC, and is therefore a ten percent owner of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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