## Edgar Filing: DILLY STEPHEN GEORGE - Form 4

DILLY STI Form 4 July 28, 20 FORN Check to if no lot subject Section Form 4 Form 5 obligati may con <i>See</i> Inst 1(b).	<b>M 4</b> UNITED this box nger to 16. or STATE Filed pu Section 17	<b>STATES</b> <b>MENT O</b> ursuant to ( (a) of the	Wa F CHAI Section Public U	ashing NGES SEC 16(a) o Jtility l	ton IN CUI of th Hol	, D.C. 20 BENEF RITIES	9549 ICLA ties I npan	AL OWI Exchange by Act of	OMMISSION NERSHIP OF e Act of 1934, 1935 or Section 0	OMB Number: Expires: Estimated a burden hour response	
(Print or Type	Responses)										
	Address of Reporting EPHEN GEORG		Symbol			d Ticker or peutics, l		-	5. Relationship of l Issuer		
(Last) AIMMUN INC., 8000 BOULEVA							(Check all applicable) X Director 10% Owner X Officer (give title Other (specify below) below) President and CEO				
BRISBAN	(Street) E, CA 94005-188	34	4. If Am Filed(Mo			ate Origina <sup>(r)</sup>	ıl		6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Mo Person	ne Reporting Per	son
(City)	(State)	(Zip)	Tal	ole I - N	on-]	Derivative	Secu	rities Acq	uired, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transa Code (Instr.	8)	omr Dispos (Instr. 3, 4	ed of		) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.0001 par value	07/26/2017			S <u>(1)</u>		50,000	D	\$ 21.462 (2)	2 615,308 <u>(3)</u>	D	
Common Stock, \$0.0001 par value									51,403	I	By GRAT 1 (4)
Common Stock, \$0.0001									14,447	Ι	By GRAT 2 (5)

par value			
Common Stock, \$0.0001 par value	51,403	Ι	By Wife's GRAT 1 (6)
Common Stock, \$0.0001 par value	14,447	Ι	By Wife's GRAT 2

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
I G G G G G G G G G G G G G G G G G G G	Director	ctor 10% Owner Officer					
DILLY STEPHEN GEORGE AIMMUNE THERAPEUTICS, INC. 8000 MARINA BOULEVARD, SUITE 300 BRISBANE, CA 94005-1884	Х		President and CEO				
Signatures							
/s/ Douglas T. Sheehy, as Attorney-in-Fact for Dilly	Stephen G.	07/28/2017					
<b>**</b> Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in the Form 4 were effected pursuant to a Rule 10b5 1 trading plan adopted by the Reporting Person.

The transaction was executed in multiple trades in prices ranging from \$21.19 to \$21.81, inclusive. The price reported in Column 4 above(2) reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the Issuer, or a stockholder of the Issuer, information regarding the number of shares and prices at which the transaction was effected.

- (3) A portion of these shares is subject to a right of repurchase held by the Issuer.
- (4) These shares are held by Stephen G. Dilly, as Trustee of The Stephen G. Dilly 2015 Grantor Retained Annuity Trust dated June 23, 2015 (the "First Dilly Trust"). Dr. Dilly has sole voting, investment and dispositive power over the shares held by the First Dilly Trust.
- (5) These shares are held by Stephen G. Dilly, Trustee, The Stephen G. Dilly 2016 Grantor Retained Annuity Trust DTD August 12, 2016 (the "Second Dilly Trust"). Dr. Dilly has sole voting, investment and dispositive power over the shares held by the Second Dilly Trust.

These shares are held by Edwina Lynette Mullens, as Trustee of The Edwina Lynette Mullens 2015 Grantor Retained Annuity Trust dated
(6) June 23, 2015 (the "First Mullens Trust"). Ms. Mullens has sole voting, investment and dispositive power over the shares held by the First Mullens Trust. Dr. Dilly disclaims beneficial ownership of the shares held by the First Mullens Trust.

These shares are held by Edwina Lynette Mullens, Trustee, The Edwina Lynette Mullens 2016 Grantor Retained Annuity Trust DTD
 (7) August 12, 2016 (the "Second Mullens Trust"). Ms. Mullens has sole voting, investment and dispositive power over the shares held by the Second Mullens Trust. Dr. Dilly disclaims beneficial ownership of the shares held by the Second Mullens Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.