Aimmune Therapeutics, Inc.

Form 4

April 06, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Aimmune Therapeutics, Inc. [AIMT]

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sheehy Douglas T.

(First)

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

(Zip)

3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

6. Individual or Joint/Group Filing(Check

04/04/2017

Symbol

below) below)

See Remarks

AIMMUNE THERAPEUTICS. INC., 8000 MARINA **BOULEVARD, SUITE 300** 

> (Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BRISBANE, CA 94005-1884

(State)

2. Transaction Date 2A. Deemed 1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially (D) or Indirect (I) Owned (Instr. 4) Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

(A) or

Transaction(s)

(Instr. 3 and 4) (D) Price Code V Amount

Common

value

Stock, \$0.0001 par

04/04/2017

 $F^{(1)}$ 4.540 \$ 21 7,460 D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Aimmune Therapeutics, Inc. - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  | 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                                  | 5.        | 6. Date Exerc       | cisable and        | 7. Titl          | e and          | 8. Price of | 9. Nu  |
|--|-------------|-------------|---------------------|--------------------|-------------------------------------|-----------|---------------------|--------------------|------------------|----------------|-------------|--------|
|  | Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber                   |           | Expiration D        | ate Amou           |                  | nt of          | Derivative  | Deriv  |
|  | Security    | or Exercise |                     | any                | Code                                | of        | (Month/Day/         | Year)              | Under            | lying          | Security    | Secui  |
|  | (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative<br>Securities |           |                     |                    | Securi           | ities          | (Instr. 5)  | Bene   |
|  |             | Derivative  |                     |                    |                                     |           |                     |                    | (Instr. 3 and 4) |                |             | Own    |
|  |             | Security    |                     |                    |                                     | Acquired  |                     |                    |                  |                |             | Follo  |
|  |             | •           |                     |                    |                                     | (A) or    |                     |                    |                  |                |             | Repo   |
|  |             |             |                     |                    |                                     | Disposed  |                     |                    |                  |                |             | Trans  |
|  |             |             |                     |                    | of (D)<br>(Instr. 3,                |           |                     |                    |                  |                |             | (Instr |
|  |             |             |                     |                    |                                     |           |                     |                    |                  |                |             |        |
|  |             |             |                     |                    |                                     | 4, and 5) |                     |                    |                  |                |             |        |
|  |             |             |                     |                    |                                     |           |                     |                    |                  | A              |             |        |
|  |             |             |                     |                    |                                     |           |                     |                    |                  | Amount         |             |        |
|  |             |             |                     |                    |                                     | I (A) (D) | Date<br>Exercisable | Expiration<br>Date | Title            | or<br>Namelana |             |        |
|  |             |             |                     |                    |                                     |           |                     |                    |                  | Number         |             |        |
|  |             |             |                     |                    | C 1 W                               |           |                     |                    |                  | of             |             |        |
|  |             |             |                     |                    | Code V                              | (A) (D)   |                     |                    |                  | Shares         |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Director 10% Owner Other

Sheehy Douglas T. AIMMUNE THERAPEUTICS, INC. 8000 MARINA BOULEVARD, SUITE 300 BRISBANE, CA 94005-1884

See Remarks

### **Signatures**

/s/ Douglas T.

Sheehy 04/06/2017

Date \*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reported sale was made pursuant to a pre-determined election to sell shares to cover tax withholding obligations in connection with the vesting of a previously reported equity award.

#### **Remarks:**

General Counsel and Corporate Secretary

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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