

Edgar Filing: HENNESSY ADVISORS INC - Form 8-K

HENNESSY ADVISORS INC  
Form 8-K  
May 12, 2004

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 12, 2004

HENNESSY ADVISORS, INC.  
(Exact name of registrant as specified in its charter)

|   |                                       |  |
|---|---------------------------------------|--|
| California<br>(State or other jurisdiction<br>of incorporation) | 000-49872<br>(Commission<br>File No.) | 68-0176227<br>(IRS Employer<br>Identification No.) |
|---|---------------------------------------|--|

|   |                     |
|---|---------------------|
| 750 Grant Avenue, Suite 100<br>Novato, California<br>(Address of principal executive offices) | 94945<br>(Zip Code) |
|---|---------------------|

Registrant's telephone number including area code: (415) 899-1555

(Former name or former address, if changed since last report)

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Item 7. Financial Statements and Exhibits.

(c) Exhibits

|              |  |
|--------------|--|
| Exhibit 99.1 | Earnings release issued May 12, 2004, by<br>Hennessy Advisors, Inc., for the three months<br>ended March 31, 2004. |
|--------------|--|

Item 12. Results of Operations and Financial Condition

The registrant has issued an earnings release for the three months ended March 31, 2004, which is included herein as Exhibit 99.1.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.

May 12, 2004

By: /s/ Neil J. Hennessy

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Neil J. Hennessy  
President

EXHIBIT INDEX

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