HARRIS & HARRIS GROUP INC /NY/

Form 8-K June 06, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): June 6, 2016

HARRIS & HARRIS GROUP, INC.

(Exact Name of Registrant as Specified in its Charter)

New York 0-11576 13-3119827 (State or other jurisdiction of incorporation) (Commission File Number) (I.R.S. Employer Identification No.)

1450 Broadway
New York, New York 10018
(Address, including zip code, of Principal Executive Offices)

(212) 582-0900

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

oWritten communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

x Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

oPre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 8.01. Other Events.

On June 6, 2016, Harris & Harris Group, Inc. (the "Company") issued a press release to remind shareholders and interested attendees that it is hosting a morning of presentations by four of its portfolio companies, D-Wave Systems, Inc., HZO, Inc., Metabolon, Inc., and Orig3n, Inc., on Tuesday, June 7, 2016, at the New York Genome Center, 101 Ave of the Americas, New York, NY 10013, from 8:30 a.m. to 12:00 p.m. ET. These presentations will be followed by Harris & Harris Group's Annual Meeting of Shareholders which the Board of Directors has set for the same day, June 7, 2016, beginning at 12:00 p.m. ET. The morning of presentations and the Company's Annual Meeting of Shareholders will be webcast at https://livestream.com/accounts/19734872/HarrisAndHarrisGroup.

Item 9.01. Financial Statements and Exhibits.

- (a) Not applicable.
- (b) Not applicable.
- (c) Not applicable.
- (d) Exhibits.

Exhibit No. Description

99.1 Press Release dated June 6, 2016

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HARRIS & HARRIS GROUP, INC.

Date: June 6, 2016 By:/s/ Daniel B. Wolfe Daniel B. Wolfe President

## EXHIBIT INDEX

Exhibit No. Description

99.1 Press Release dated June 6, 2016