

Vandagriff Randy
Form 4
December 19, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Vandagriff Randy

(Last) (First) (Middle)

C/O EASTMAN KODAK
COMPANY, 343 STATE STREET

(Street)

ROCHESTER, NY 14650

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
EASTMAN KODAK CO [KODK]

3. Date of Earliest Transaction
(Month/Day/Year)
12/15/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock, par value \$.01	12/15/2017		M		1,400 A \$ 0 (1) 4,024	D	
Common Stock, par value \$.01	12/15/2017		F		464 (2) D \$ 3.35 3,560	D	
Common Stock, par value \$.01	12/15/2017		M		1,055 A \$ 0 (1) 4,615	D	
Common Stock, par	12/15/2017		F		350 (2) D \$ 3.35 4,265	D	

value \$.01

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0 ⁽¹⁾	12/15/2017		M	1,400	⁽¹⁾	12/15/2017	Common Stock, par value \$.01	1,400
Restricted Stock Units	\$ 0 ⁽³⁾	12/15/2017		M	1,055	⁽³⁾	12/15/2018	Common Stock, par value \$.01	1,055
Stock Option (Right to Buy)	\$ 20.25					⁽⁴⁾	12/14/2021	Common Stock, par value \$.01	11,597
Stock Option (Right to Buy)	\$ 12.63					⁽⁵⁾	12/14/2022	Common Stock, par value \$.01	7,921
Stock Option (Right to Buy)	\$ 12.5					⁽⁶⁾	09/13/2024	Common Stock, par value \$.01	152,285

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Vandagriff Randy
C/O EASTMAN KODAK COMPANY
343 STATE STREET
ROCHESTER, NY 14650

Vice President

Signatures

/s/ Sharon E. Underberg, Attorney-in-fact for Randy
Vandagriff

12/19/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These restricted stock units convert into common stock on a one-for-one basis.
- (2) Shares withheld to cover tax withholding obligations on the vesting of restricted stock units.
- (3) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-half on each of the second and third anniversary of the 12/15/2015 grant date.
- (4) This option vests one-third on each of the first three anniversaries of the 12/15/2014 grant date.
- (5) This option vests one-third on each of the first three anniversaries of the 12/15/2015 grant date.
- (6) This option vests one-third on each of the first three anniversaries of the 9/14/2017 grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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