# Edgar Filing: ALLIANCEBERNSTEIN HOLDING L.P. - Form 8-K

ALLIANCEBERNSTEIN HOLDING L.P. Form 8-K
November 13, 2018
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 8-K
CURRENT REPORT
Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934
Date of Report (Date of earliest event reported): November 12, 2018
ALLIANCEBERNSTEIN HOLDING L.P. (Exact name of registrant as specified in its charter)
Delaware 001-09818 13-3434400 (State or other jurisdiction of incorporation or organization) (Commission File Number) (I.R.S. Employer Identification Number)
1345 Avenue of the Americas, New York, New York 10105 (Address of principal executive offices) (Zip Code)
Registrant's telephone number, including area code: 212-969-1000
Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligations of the registrant under any of the following provisions:
[] Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
[] Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
[] Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
[] Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 under the Securities Act (17 CFR 230.405) or Rule 12b-2 under the Exchange Act (17 CFR 240.12b-2). Emerging growth company
If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition

period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the

Exchange Act.

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Item 7.01. Regulation FD Disclosure.

AllianceBernstein L.P. ("AB") and AllianceBernstein Holding L.P. are furnishing a news release ("AUM Release") issued on November 12, 2018 announcing AB's preliminary assets under management as of October 31, 2018. The AUM Release is attached hereto as Exhibit 99.01.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

99.01 AUM Release.

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## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

## ALLIANCEBERNSTEIN HOLDING L.P.

Dated: November 13, 2018 By:

/s/ David M. Lesser David M. Lesser Corporate Secretary