

Macy's, Inc.

Form 3

February 10, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Ongman Patti H

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/01/2015

3. Issuer Name and Ticker or Trading Symbol

Macy's, Inc. [M]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

C/O MACY'S, INC.,Â 7 WEST SEVENTH STREET

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Chief Merchandise Planning Ofc

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

CINCINNATI,Â OHÂ 45202

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

959 ⁽¹⁾

I

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Option to Purchase Common Stock	Â (2)	03/19/2020	Common Stock	1,875	\$ 20.89	D	Â
Option to Purchase Common Stock	Â (3)	03/25/2021	Common Stock	625	\$ 23.43	D	Â
Option to Purchase Common Stock	Â (4)	03/25/2021	Common Stock	3,750	\$ 23.43	D	Â
Option to Purchase Common Stock	Â (5)	03/23/2022	Common Stock	7,500	\$ 39.84	D	Â
Option to Purchase Common Stock	Â (6)	03/19/2023	Common Stock	7,500	\$ 41.67	D	Â
Option to Purchase Common Stock	Â (7)	03/28/2024	Common Stock	7,500	\$ 58.92	D	Â
Restricted Stock Units	Â (8)	Â (8)	Common Stock	3,765	\$ (9)	D	Â
Restricted Stock Units	Â (10)	Â (10)	Common Stock	4,799	\$ (9)	D	Â
Restricted Stock Units	Â (11)	Â (11)	Common Stock	4,243	\$ (9)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ongman Patti H C/O MACY'S, INC. 7 WEST SEVENTH STREET CINCINNATI, OH 45202	Â	Â	Â Chief Merchandise Planning Ofc	Â

Signatures

/s/ Linda J. Balicki, as attorney-in-fact for Patti Ongman pursuant to a Power of Attorney

02/10/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the reporting person's interest in Macy's stock under the Issuer's 401(k) plan, derived by dividing the value of the undivided interest of the reporting person in the applicable investment fund as of February 6, 2015 by \$63.73, the stock price of such date.
- (2) Options became exercisable as follows: 1,875 on March 19, 2014.
- (3) Options become exercisable as follows: 625 on March 25, 2015.
- (4) Options became/become exercisable as follows: 1,875 on March 25, 2014 and 1,875 on March 25, 2015.

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- (5) Options became/become exercisable as follows: 1,875 on March 23, 2013; 1,875 on March 23, 2014; 1,875 on March 23, 2015 and 1,875 on March 23, 2016.
- (6) Options became/become exercisable as follows: 1,875 on March 19, 2014; 1,875 on March 19, 2015; 1,875 on March 19, 2016 and 1,875 on March 19, 2017.
- (7) Options become exercisable as follows: 1,875 on March 28, 2015; 1,875 on March 28, 2016; 1,875 on March 28, 2017 and 1,875 on March 28, 2018.
- (8) The restricted stock units vest on March 23, 2015.
- (9) Each restricted stock unit represents a contingent right to receive one share of Macy's common stock.
- (10) The restricted stock units vest on March 19, 2016.
- (11) The restricted stock units vest on March 28, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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