

LEGG MASON, INC.
Form 8-K
February 12, 2019

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported) February 12, 2019
LEGG MASON, INC.

(Exact name of registrant as specified in its charter)

Maryland 1-8529 52-1200960
(State or Other Jurisdiction (Commission File (IRS Employer
of Incorporation) No.) Identification No.)
100 International Drive, Baltimore, Maryland 21202
(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: 410 539-0000

Not Applicable

(Former name or former address if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 7.01 Regulation FD.

Legg Mason, Inc. (“Legg Mason”) disclosed in the proxy statement for its 2018 Annual Meeting of Stockholders that the deadline for the submission of proposals by stockholders who would like to submit proposals for the 2019 Annual Meeting of Stockholders is February 13, 2019. The correct deadline in accordance with Legg Mason’s Bylaws is February 20, 2019. In order to provide stockholders with more time to decide if they would like to submit proposals for consideration at the 2019 Annual Meeting of Stockholders, the Board has determined to extend the date by which notice of proposals by stockholders must be delivered for consideration at the 2019 Annual Meeting of Stockholders to 5 pm ET on March 15, 2019. The inclusion of any proposal in the proxy statement for the 2019 Annual Meeting of Stockholders will be subject to the applicable rules of the SEC.

This disclosure is being furnished pursuant to Item 7.01 of Form 8-K and the information included therein shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934 (Exchange Act) or otherwise subject to the liabilities under that Section and shall not be deemed to be incorporated by reference into any filing of Legg Mason under the Securities Act of 1933 or the Exchange Act.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

LEGG MASON, INC.
(Registrant)

Date: February 12, 2019 By: /s/ Thomas C. Merchant

Thomas C. Merchant
Executive Vice President and General Counsel