#### HALLIBURTON CO

Form 4 March 09, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

Expires:

January 31, 2005

Estimated average burden hours per

response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

PARKWAY E.

(City)

Common

Stock

1. Name and Address of Reporting Person \* Beaty Anne L.

(First)

(State)

03/07/2017

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

HALLIBURTON CO [HAL]

3. Date of Earliest Transaction (Month/Day/Year) 03/07/2017

Director X\_ Officer (give title below)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

10% Owner Other (specify

below) Senior VP, Finance

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

HOUSTON, TX 77032

3000 N. SAM HOUSTON

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 7. Nature of Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4)

or Code V Amount (D) Price

(A)

\$ 10,000 52.97 Α (1) (2)

59,393.541 D

Transaction(s)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

## Edgar Filing: HALLIBURTON CO - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy Common Stock	\$ 55.68					01/03/2017	01/03/2027	Common Stock	17,574
Option to Buy Common Stock	\$ 34.48					01/04/2016	01/04/2026	Common Stock	29,412
Option to Buy Common Stock	\$ 39.49					01/02/2015	01/02/2025	Common Stock	17,526
Option to Buy Common Stock (11/2013)	\$ 53.13					11/05/2013	11/05/2023	Common Stock	10,000
Option to Buy Common Stock (01/13)	\$ 36.31					01/03/2013	01/03/2023	Common Stock	9,300
Option to Buy Common Stock	\$ 34.15					01/03/2012	01/03/2022	Common Stock	7,500
Option to Buy Common Stock	\$ 31.65					01/05/2010	01/05/2020	Common Stock	9,500
Option to Buy Common Stock	\$ 19.45					01/02/2009	01/02/2019	Common Stock	7,200

8. F Der Sec

(Ins

### Edgar Filing: HALLIBURTON CO - Form 4

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Beaty Anne L.

3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032

Senior VP, Finance

Signatures

Bruce A. Metzinger, by Power of Attorney

03/09/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to the Issuer to satisfy withholding tax obligations.
- (2) On March 7, 2017 the closing price of Halliburton Company Common Stock on the New York Stock Exchange was \$52.97.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3