#### Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

#### COMMUNITY TRUST BANCORP INC /KY/

Form 4

August 25, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287

Check this box if no longer subject to

Washington, D.C. 20549

Number: January 31, Expires: 2005

**OMB APPROVAL** 

Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

STUMBO KEVIN J

Symbol

(Check all applicable)

COMMUNITY TRUST BANCORP

INC /KY/ [CTBI]

(First)

(Middle)

(Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title . \_ Other (specify below)

C/O COMMUNITY TRUST BANK, 08/23/2016

EVP, CFO, & Treasurer

**INC., PO BOX 2947** 

(Street)

4. If Amendment, Date Original

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

PIKEVILLE, KY 41502-2947

(City)	(State)	<sup>(Zip)</sup> Tabl	e I - Non-D	erivative	Secur	ities Acqu	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi r(A) or Di (Instr. 3,	spose 4 and	d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	08/23/2016		Code V	Amount 5,295	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4) 7,699	D	
Stock Common Stock	08/25/2016		S		D	35.409 \$ 36.87		D	
Common Stock							7,592.4645	I	By ESOP
Common Stock							9,937.1958	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Option (1)	\$ 35.409 (2)	08/23/2016		M		1,323.75 (2)	01/23/2008	01/23/2017	Common Stock	1,323.7 (2)
Option (1)	\$ 35.409 (2)	08/23/2016		M		1,323.75 (2)	01/23/2009	01/23/2017	Common Stock	1,323.7 (2)
Option (1)	\$ 35.409 (2)	08/23/2016		M		1,323.75 (2)	01/23/2010	01/23/2017	Common Stock	1,323.7 (2)
Option (1)	\$ 35.409 (2)	08/23/2016		M		1,323.75 (2)	01/23/2011	01/23/2017	Common Stock	1,323.

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

STUMBO KEVIN J C/O COMMUNITY TRUST BANK, INC. PO BOX 2947 PIKEVILLE, KY 41502-2947

EVP, CFO, & Treasurer

### **Signatures**

Kevin J. Stumbo Marilyn T. Justice, Attorney-in-Fact

08/25/2016

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan)
- (2) Option previously reported as covering 1,203.50 shares @\$38.95 per share, adjusted to reflect the 10% stock dividend effective 06/02/14. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners 2

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