

Edgar Filing: TRIARC COMPANIES INC - Form 5

TRIARC COMPANIES INC

Form 5

February 13, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

() Form 3 Holdings Reported

() Form 4 Transactions Reported

1. Name and Address of Reporting Person

Tsai, Jr., Gerald
 Tsai Management, Inc.
 200 Park Avenue
 Suite 4501
 New York,, NY 10166
 USA

2. Issuer Name and Ticker or Trading Symbol

Triarc Companies, Inc.
 TRY

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

December 31, 2000

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director () 10% Owner () Officer (give title below) () Other
 (specify below)

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. | 3. | 4. Securities Acquired (A) | 5. Amount of |
|----------------------|-------------|--------------------|----------------------------|-------------------|
| | Transaction | or Disposed of (D) | Securities | |
| | Date | Code | Beneficially | |
| | | | Owned at | |
| | | Amount | A/ | |
| | | | D | Price |
| | | | | End of Year |
| Class A Common Stock | 1/3/00 | J(1) | 414 | (A) \$18.10 (2) |
| Class A Common Stock | 1/20/00 | J(1) | 80 | (A) \$18.63 (2) |
| | 0 | | | |
| Class A Common Stock | 3/16/00 | J(1) | 77 | (A) \$19.32 (2) |
| | 0 | | | |
| Class A Common Stock | 3/31/00 | J(1) | 74 | (A) \$20.17 (2) |
| | 0 | | | |
| Class A Common Stock | 4/3/00 | J(1) | 469 | (A) \$19.17 (2) |
| Class A Common Stock | 5/2/00 | J(1) | 75 | (A) \$19.88 (2) |

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| | | | |
|----------------------|-----------------|------------------|--------|
| Class A Common Stock | 5/12/0 J(1) 73 | (A) \$20.42 (2) | |
| | 0 | | |
| Class A Common Stock | 5/25/0 J(1) 70 | (A) \$21.38 (2) | |
| | 0 | | |
| Class A Common Stock | 6/21/0 J(1) 71 | (A) \$20.92 (2) | |
| | 0 | | |
| Class A Common Stock | 7/3/00 J(1) 366 | (A) \$20.47 (2) | |
| Class A Common Stock | 9/14/0 J(1) 65 | (A) \$22.97 (2) | |
| | 0 | | |
| Class A Common Stock | 9/15/0 J(1) 65 | (A) \$23.02 (2) | |
| | 0 | | |
| Class A Common Stock | 10/2/0 J(1) 311 | (A) \$24.11 (2) | 16,530 |
| | 0 | | |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Price of Underlying Securities |
|------------------------------------------------------------------|--------------------------------------------------------|---------------------|---------|--------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------|-----------------------------------|
| Stock Options (right to buy) with tandem tax withholding rights. | \$19.75 | 6/22/00 | (A) | 4,000 | A (3) 6/22/10 | Class A Common Stock | 4,000 |

Explanation of Responses:

(1) Shares were issued pursuant to the Company's 1998 Equity Participation Plan in lieu of annual retainer or Board of Directors or committee meeting attendance fees that would otherwise be payable in cash.

(2) In accordance with the Company's 1998 Equity Participation Plan, the price is the average of the closing price per share on the 20 consecutive trading days immediately preceding the date on which the annual retainer or meeting attendance fee would otherwise be payable.

(3) The option vests in two equal installments on June 22, 2001 and 2002.

SIGNATURE OF REPORTING PERSON

Gerald Tsai, Jr.

DATE

February 13, 2001