Naher Ulrich Form 4 February 15, 2019

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Naher Ulrich

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

DIEBOLD NIXDORF, Inc [DBD]

(Check all applicable)

SVP, Systems

(Last)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Month/Day/Year)

02/13/2019

Director 10% Owner X\_ Officer (give title Other (specify

C/O DIEBOLD NIXDORF, INCORPORATED, 5995 MAYFAIR

(Street)

(State)

**ROAD** 

(City)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

NORTH CANTON, OH 44720

(~)	Table	e I - Non-De	erivative i	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
2. Transaction Date	2A. Deemed	3.	4. Securi	ities		5. Amount of	6. Ownership	7. Nature of
(Month/Day/Year)	Execution Date, if	Transactio	onAcquire	d(A) c	or	Securities	Form: Direct	Indirect
	any	Code	Disposed	d of (D	<b>)</b> )	Beneficially	(D) or	Beneficial
	(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
				( 4 )		Reported		
						Transaction(s)		
		C + V	<b>A</b>		ъ.	(Instr. 3 and 4)		
		Code v		(D)	Price			
02/13/2010		Δ		Δ	\$ 0	122 302 (2)	D	
02/13/2017		Λ	(1)	Λ	ψυ	122,372 <u>~</u>	Ъ	
			3 190					
02/13/2019		F		D	\$0	$119,202 \frac{(2)}{2}$	D	
	2. Transaction Date	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)  02/13/2019	2. Transaction Date 2A. Deemed 3. (Month/Day/Year) Execution Date, if any Code (Month/Day/Year) (Instr. 8)  Code V  02/13/2019 A	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, Code V Amount 6,719  02/13/2019 A Code V Amount 6,719  1	2. Transaction Date 2A. Deemed 3. 4. Securities  (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and Code V Amount (D)  02/13/2019 A 3. 4. Securities  Code Disposed of (E)  (Instr. 8) (Instr. 3, 4 and Code V Amount (D)  A 6,719 A  02/13/2019 A  02/13/2019 A  3. 4. Securities  Code V Amount (D)  A 3,190 D	2. Transaction Date 2A. Deemed 3. 4. Securities (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)  Code V Amount (D) Price A 6,719 A \$ 0	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Following Reported Transaction(s) (Instr. 3 and 4)  Code V Amount (D) Price (Instr. 3 and 4)  02/13/2019 A \$0 122,392 (2)	2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Following (Instr. 4)  Code V Amount (D) Price  (A) (A) (Instr. 3 and 4)  Code V Amount (D) Price  (A) (Instr. 3 and 4)  (A) (Instr. 3 and 4)  (B) (Instr. 3 and 4)  (Code V Amount (D) Price (Instr. 3 and 4)  (Code V Amount (D) Price (Instr. 3 and 4)  (Code V Amount (D) Price (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (3)	\$ 26.6					02/08/2018	02/07/2027	Common Shares	49,650
Non-Qualified Stock Option	\$ 18.75					02/01/2019	02/01/2028	Common Shares	30,278
Non-Qualified Stock Option	\$ 4.08					01/29/2020	01/29/2029	Common Shares	47,407

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Naher Ulrich

C/O DIEBOLD NIXDORF, INCORPORATED 5995 MAYFAIR ROAD

NORTH CANTON, OH 44720

## **Signatures**

Jonathan B. Leiken, Attorney in fact for Ulrich Naher

\*\*Signature of Reporting Person

Date

02/14/2019

SVP, Systems

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects delivery of shares earned under the 2017-2019 Synergy Grant, and withholding of shares pursuant to tax withholding right.
- (2) Number includes restricted stock units.
- (3) Granted under the 1991 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 1/3, 1/3, 1/3 beginning one year from the date of grant.

Reporting Owners 2

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(4) Granted under the 2017 Equity and Performance Incentive Plan; option is generally exercisable in annual increments of 1/3, 1/3, 1/3 beginning one year from the date of grant.

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