

Deutsch James F.  
Form 3  
November 28, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Deutsch James F.</p> <p>(Last) (First) (Middle)</p> <p>C/O PATRIOT FINANCIAL PARTNERS III, L.P., CIRA CENTRE, 2929 ARCH STREET, 27TH FL</p> <p>(Street)</p> <p>PHILADELPHIA, PA 19104</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>11/28/2018</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>PACIFIC MERCANTILE BANCORP [PMBC]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>See Remarks (1)</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>
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6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	2,169,208	I	By Patriot Financial Partners III, L.P. (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial Ownership
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# Edgar Filing: Deutsch James F. - Form 3

(Month/Day/Year)		Derivative Security (Instr. 4)		or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Series A Non-Voting Preferred Stock	Â (2)	Â (2)	Common Stock (2)	1,467,155	\$ 0	I
						By Patriot Financial Partners III, L.P. (1)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Deutsch James F. C/O PATRIOT FINANCIAL PARTNERS III, L.P. CIRA CENTRE, 2929 ARCH STREET, 27TH FL PHILADELPHIA, PA 19104	Â	Â	Â	See Remarks (1)

## Signatures

/s/Curt A. Christianssen, attorney in fact for James F. Deutsch 11/28/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities are beneficially held by Patriot Financial Partners III, L.P. (the "Patriot Fund"). Patriot Financial Partners III, GP, L.P. ("Patriot GP") is a general partner of Patriot Fund, and Patriot Financial Partners III, GP, LLC ("Patriot LLC") is a general partner of Patriot GP. In addition, James F. Deutsch is a general partner of Patriot Fund and Patriot GP and a member of Patriot LLC. Accordingly, securities owned by Patriot Fund may be regarded as being beneficially owned by Patriot GP, Patriot LLC and James F. Deutsch. Mr. Deutsch disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein, and this report shall not be deemed an admission that he is a beneficial owner of such securities for the purposes of Section 16.
- (2) The Series A Non-Voting Preferred Stock ("Series A Preferred Stock") is automatically convertible into voting Common Stock upon any transfer of such Series A Preferred Stock to any person other than Patriot Fund or an affiliate of Patriot Fund (i) pursuant to any public offering or public sale of securities of the Issuer, (ii) in a transfer in which no transferee (or group of associated transferees) would receive 2% or more of any class of voting securities of the Issuer, or (iii) to a transferee that would control more than 50% of the voting securities of the Issuer without any transfer from Patriot Fund or any affiliate of Patriot Fund.

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### Remarks:

InÂ addition,Â SeriesÂ AÂ PreferredÂ StockÂ isÂ automaticallyÂ convertibleÂ intoÂ aÂ classÂ ofÂ non-votingÂ Common

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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