**Eckel Jeffrey** Form 4 June 11, 2018

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **Eckel Jeffrey** Issuer Symbol

> Hannon Armstrong Sustainable (Check all applicable) Infrastructure Capital, Inc. [HASI]

(Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_ Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) 06/11/2018

1906 TOWNE CENTRE BLVD. **SUITE 370** 

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

President and CEO

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

 $42,000^{(2)}$ 

Ι

ANNAPOLIS, MD 21401

(City)	(State)	(Zip) Tal	I - Non-Derivative Se	curities Acqu	ired, Disposed of	f, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and Instr. 8)  (A or Code V Amount (D	of (D) d 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common stock, par value \$0.01 per share	06/11/2018		P 1,000 A	\$ 17.9739	599,181 (1)	I	By Jeffrey W. Eckel Revocable Trust
Common stock, par value \$0.01 per share					877,520	D	

#### Edgar Filing: Eckel Jeffrey - Form 4

Common stock, par value \$0.01 per share			By significant other
Common stock, par value \$0.01 per share	2,350 (3)	I	By grandson

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of	•
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumber	<ul> <li>Expiration D</li> </ul>	ate	Amou	nt of	Derivative	1
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	3) Derivati	ive		Securi	ties	(Instr. 5)	
	Derivative				Securiti	es		(Instr.	3 and 4)		- 1
	Security				Acquire	d					1
	Ĭ				(A) or						ı
					Dispose	d					
					of (D)						
					(Instr. 3						
					4, and 5						
					,	,					
									Amount		
						Date	Expiration		or		
						Exercisable	*	Title Number	Number		
						Excicisable	Date		of		
				Code	V (A) (D	))			Shares		

# **Reporting Owners**

\*\*Signature of

Reporting Person

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
Eckel Jeffrey 1906 TOWNE CENTRE BLVD. SUITE 370 ANNAPOLIS, MD 21401		X		President and CEO				
Signatures								
/s/ Jeffrey Eckel 06	5/11/2018							

Date

Reporting Owners 2

Edgar Filing: Eckel Jeffrey - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by the Jeffrey W. Eckel Revocable Trust, of which Jeffrey W. Eckel is the sole trustee and beneficiary.
- (2) These shares are held by the reporting person's significant other. The reporting person disclaims ownership other than to the extent of his pecuniary interest.
- The reporting person acts as custodian for his grandson under the Uniform Gifts to Minors Act. The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.