

WILLIAMS BRUCE W
Form 4
October 05, 2012

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WILLIAMS BRUCE W

2. Issuer Name and Ticker or Trading Symbol
HomeStreet, Inc. [HMST]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
601 UNION STREET, SUITE 2000

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/06/2012

Director 10% Owner
 Officer (give title below) Other (specify below)

SEATTLE, WA 98101

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Amount or Price		
Common Stock	09/06/2012		S	D	\$ 1,700 36.52	I	Gro A. Buer ⁽¹⁾
Common Stock	09/13/2012		S	D	\$ 1,416 35.02	I	Gro A. Buer ⁽¹⁾
Common Stock	09/14/2012		S	D	\$ 13,347 35.07	I	Gro A. Buer ⁽¹⁾
Common Stock						D	
Common Stock						D ⁽²⁾	
						I	

Edgar Filing: WILLIAMS BRUCE W - Form 4

Common Stock							HomeStreet, Inc. 401(k) Savings Plan ⁽³⁾
Common Stock				94,064	I		Marina S. Williams Trust ⁽⁴⁾
Common Stock				1,094.2	I		Marina S. Williams Trust ⁽⁵⁾
Common Stock				80,038.4	I		Estate of Walter B. Williams ⁽⁶⁾
Common Stock				80,036.8	I		Estate of Marie W. Williams ⁽⁷⁾
Common Stock				27,640.8	I		Karen M. Zimmerman Trust ⁽⁸⁾
Common Stock				27,640.8	I		Steven W. Zimmerman Trust ⁽⁹⁾
Common Stock				375.2	I		Andrew Alvaro Mullins-Williams 2005 Trust ⁽¹⁰⁾
Common Stock				78,227.2	I		Myers Irrevocable Trust #1 ⁽¹¹⁾
Common Stock				0.6	I		Walter B. Williams Interim Trust ⁽¹²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code	V (A) (D)		Title		

Date	Expiration	Amount
Exercisable	Date	or
		Number
		of
		Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WILLIAMS BRUCE W 601 UNION STREET, SUITE 2000 SEATTLE, WA 98101		X		

Signatures

/s/ Godfrey B. Evans, attorney in fact for Bruce W. Williams
10/05/2012

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held individually by Gro A. Buer, Mr Williams's spouse. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (2) Held jointly with Gro A. Buer, Mr Williams's spouse.
 These shares were previously held in the HomeStreet Inc. Employee Stock Ownership Plan, which merged into the HomeStreet, Inc. 401(k) Savings Plan, or 401(k) Plan, on July 26, 2012. Participants in HomeStreet, Inc.'s 401(k) Savings Plan have the authority to direct voting of shares they hold through the 401(k) Plan.
- (3) Mr. Williams is the co-trustee with Gro A. Buer for the Marina S. Williams Trust dated 12/25/95. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (4) Mr. Williams is the sole trustee for the Marina S. Williams Trust dated 12/23/03. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (5) Mr. Williams is the executor of the estate of Walter B. Williams. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (6) Mr. Williams is the executor of the estate of Marie W. Williams. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (7) Mr. Williams is the sole trustee of the Karen M. Zimmerman Trust dated 12/22/2000. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (8) Mr. Williams is the sole trustee of the Steven W. Zimmerman Trust dated 12/22/2000. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (9) Mr. Williams is the sole trustee for the Andrew Alvaro Mullins-Williams Trust dated 11/29/2005. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (10) Mr. Williams is the sole trustee of the Myers Irrevocable Trust #1 dated 8/5/1994. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (11) Mr. Williams is the sole trustee of the Walter B. Williams Interim Trust. Mr. Williams disclaims beneficial ownership of these shares except to the extent of any pecuniary interest he may have therein.
- (12)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: WILLIAMS BRUCE W - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.