REEDER JOE Form 4 April 03, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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obligations

may continue.

See Instruction

Check this box

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

REEDER JOE

(Last)

PERMA FIX ENVIRONMENTAL SERVICES INC [PESI]

(Check all applicable)

(Middle)

(Month/Day/Year) Execution Date, if

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director 10% Owner Officer (give title Other (specify

6. Individual or Joint/Group Filing(Check

below)

8302 DUNWOODY PLACE, SUITE 04/01/2019

250

Security

(Instr. 3)

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ATLANTA,, GA 30350

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)

(Instr. 8)

5. Amount of Securities Beneficially Owned **Following** 

6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4)

Reported

Transaction(s)

Code V Amount (D) Price

(A)

(Instr. 3 and 4)

Common 04/01/2019 Stock

3,609 A 2.6325

153,684

D

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: REEDER JOE - Form 4

8. Price Derivat Securit (Instr. 5

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	of Deri Secu Acq (A)	vative arities uired or oosed O) r. 3,			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 13.35						<u>(1)</u>	07/29/2019	Common Stock	2,400
Stock Option	\$ 8.4						(2)	09/29/2020	Common Stock	2,400
Stock Option	\$ 7.05						(3)	08/24/2021	Common Stock	2,400
Stock Option	\$ 5.5						<u>(4)</u>	09/13/2022	Common Stock	2,400
Stock Option	\$ 2.79						<u>(5)</u>	09/12/2023	Common Stock	2,400
Stock Option	\$ 3.7						<u>(6)</u>	09/18/2024	Common Stock	2,400
Stock Option	\$ 4.19						<u>(7)</u>	09/17/2025	Common Stock	2,400
Stock Option	\$ 4.6						(8)	07/28/2026	Common Stock	2,400
Stock Option	\$ 3.55						<u>(9)</u>	07/27/2027	Common Stock	2,400
Stock Option	\$ 4.3						(10)	07/26/2028	Common Stock	2,400

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Nume / Numess	Director	10% Owner	Officer	Other		
REEDER JOE 8302 DUNWOODY PLACE, SUITE 250 ATLANTA,, GA 30350	X					

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### **Signatures**

/s/ Joe Reeder 04/03/2019

\*\*Signature of
Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified Stock Option granted 07/29/09 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (2) Non-Qualified Stock Option granted 09/29/10 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (3) Non-Qualified Stock Option granted 08/24/11 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (4) Non-Qualified Stock Option granted 09/13/12 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (5) Non-Qualified Stock Option granted 09/12/13 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (6) Non-Qualified Stock Option granted 09/18/14 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (7) Non-Qualified Stock Option granted 09/17/15 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (8) Non-Qualified Stock Option granted 07/28/16 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (9) Non-Qualified Stock Option granted 07/27/17 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (10) Non-Qualified Stock Option granted 07/26/18 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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