

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND
Form N-PX
August 31, 2011

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act File No. 811-22039

First Trust Specialty Finance and
Financial Opportunities Fund

Exact Name of Registrant as Specified in Declaration of Trust

120 East Liberty Drive, Suite 400, Wheaton, Illinois 60187

Address of Principal Executive Offices (Number, Street, City, State, Zip Code)

W. Scott Jardine
First Trust Portfolios L.P.
120 East Liberty Drive, Suite 400
Wheaton, Illinois 60187

Name and Address (Number, Street, City, State, Zip Code) of Agent for Service

(630) 765-8000

Registrant's Telephone Number, including Area Code

Date of fiscal year end: November 30

Date of reporting period: July 1, 2010 - June 30, 2011

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 100 F Street, N.E., Washington D.C. 20549. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. Section 3507.

Item 1. Proxy Voting Record

7/1/10-6/30/11

COMPANY NAME	MEETING DATE	CUSIP
Kohlberg Capital Corporation	22-Jul-10	500233-101
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect C. Turney Stevens as director for term ending
Echo Voted -- For	For	1.2) Elect Gary Cademartori as director for term ending
Echo Voted -- For	For	2) Ratify the selection of Grant Thornton LLP as independent registered public accounting firm

COMPANY NAME	MEETING DATE	CUSIP
Apollo Investment Corporation	3-Aug-10	03761U-106
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Elliott Stein, Jr. as director for term ending
Echo Voted -- For	For	1.2) Elect Bradley Wechsler as director for term ending
Echo Voted -- For	For	2) Ratify the selection of PwC (PricewaterhouseCoopers LLP) as independent registered public accounting firm.
Echo Voted -- For	For	3) Authorize flexibility for the company, with the approval of the Board of Directors, to sell shares of its common stock (within the next 12 months) at a price below its then current net asset value per share subject to certain limitations (including that the number of shares sold pursuant to such authority does not exceed 10% of its then outstanding common stock immediately prior to the sale)

COMPANY NAME	MEETING DATE	CUSIP
Gladstone Investment Corporation	5-Aug-10	376546-107
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Paul Adalgren as director for term ending 2013
Echo Voted -- For	For	1.2) Elect John Outland as director for term ending 2013
Echo Voted -- For	For	1.3) Elect David Gladstone (Chairman & CEO) as director for term ending 2013
Echo Voted -- For	For	2) Authorize the company to sell shares of its common stock at a price below our then-current net asset value per share
Echo Voted -- For	For	3) Ratify the selection of PwC (PricewaterhouseCoopers LLP) as independent registered public accounting firm.

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

COMPANY NAME	MEETING DATE	CUSIP
Medical Action Industries Inc.	12-Aug-10	58449L-100
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Paul Meringolo (Chairman & CEO) as director for term ending 2013
Echo Voted -- For	For	1.2) Elect Kenneth Newsome as director for term ending 2013
Echo Voted -- For	For	2) Ratify the selection of Grant Thornton LLP as independent registered public accounting firm

COMPANY NAME	MEETING DATE	CUSIP
Prospect Capital Copr.	10-Dec-10	74348T-102
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect William Grep as director
Echo Voted -- For	For	1.2) Elect John Berry III as director
Echo Voted -- For	For	1.3) Elect Eugene Starl as director
Echo Voted -- For	For	2) Ratify the selection of BDO USA, LLP (formerly BDO Seidman, LLP) as the Company's independent registered public accounting firm
Echo Voted -- For	For	3) Approve a proposal to authorize the Company, with approval of the Issuer Board of Directors, to sell shares of its common stock at a price or prices below the Company's then current net asset value per share in one or more offerings.

COMPANY NAME	MEETING DATE	CUSIP
PennantPark Investment Corp.	1-Feb-11	708062-104
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Marshall Brozost as director
Echo Voted -- For	For	1.2) Elect Samuel Katz as director
Echo Voted -- For	For	2) Ratify the selection of KPMG LLP as the independent registered public accounting firm.
Echo Voted -- For	For	3) Consider and vote upon a proposal to authorize flexible redemption of the Issuer approval of Board of Directors, to sell shares of common stock (during the next 12 months) at a price below the then current net asset value per share, subject to certain limitations set forth in the proxy statement.

COMPANY NAME	MEETING DATE	CUSIP
Golub Capital BDC, Inc.	8-Feb-11	38173M-102
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1) Elect David Golub as director
Echo Voted -- For	For	2) Ratify the selection of McGladrey & Pullen, LLP to serve as the Company's independent registered public accounting firm for the fiscal year ending September 30, 2011.

COMPANY NAME	MEETING DATE	CUSIP
Gladstone Capital Corp.	17-Feb-11	376535-100
VOTE	MANAGEMENT	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

	FOR/AGAINST	
Echo Voted -- For	For	1) Elect directors:
Echo Voted -- For	For	.1) Michela English
Echo Voted -- For	For	.2) Anthony Parker
Echo Voted -- For	For	.3) George Stelljes III (CIO)
Echo Voted -- For	For	2) Approve proposal of board to issue and sell shares of stock at price below its current Net Asset Value
Echo Voted -- For	For	3) Ratify audit committee to select PriceWaterHouseCoopers independent public accounting firm

COMPANY NAME	MEETING DATE	CUSIP
MVC Capital, Inc.	10-Mar-11	553829-102

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1) Elect directors:
Echo Voted -- For	For	.1) Emilio Dominianni
Echo Voted -- For	For	.2) Gerald Hellerman
Echo Voted -- For	For	.3) Robert Knapp
Echo Voted -- For	For	.4) William Taylor
Echo Voted -- For	For	.5) Warren Holtsberg (interested director)
Echo Voted -- For	For	.6) Michael Tokarz (Chairman)
Echo Voted -- For	For	2) Ratify the selection of Ernst & Young LLP as the independent registered public accounting firm.

COMPANY NAME	MEETING DATE	CUSIP
Fifth Street Finance Corp.	25-Mar-11	31678A-103

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
unvoted -- Echo Vote requested, not implemented	For	1.1) Elect Brian Dunn as director
unvoted -- Echo Vote requested, not implemented	For	1.2) Elect Byron Haney as director
unvoted -- Echo Vote requested, not implemented	For	2) Ratify the selection of PwC (PricewaterhouseCoopers LLP) as independent registered public accounting firm.
unvoted -- Echo Vote requested, not implemented	For	3) Approve Company authorization to issue warrants, options, or convertible securities to subscribe to, convert to, or purchase the Company's securities in one or more offerings.

Page 1

COMPANY NAME	MEETING DATE	CUSIP
Berkshire Hathaway	30-Apr-11	084670-108

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Warren Buffett (Chairman & CEO) as director
Echo Voted -- For	For	1.2) Elect Howard Buffett as director
Echo Voted -- For	For	1.3) Elect Stephen Burke as director
Echo Voted -- For	For	1.4) Elect Susan Decker as director
Echo Voted -- For	For	1.5) Elect William Gates III as director
Echo Voted -- For	For	1.6) Elect David Gottesman as director

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Echo Voted -- For	For	1.7) Elect Charlotte Guyman as director
Echo Voted -- For	For	1.8) Elect Donald Keough as director
Echo Voted -- For	For	1.9) Elect Charles Munger (Vice Chairman) as director
Echo Voted -- For	For	1.10) Elect Thomas Murphy as director
Echo Voted -- For	For	1.11) Elect Ronald Olson as director
Echo Voted -- For	For	1.12) Elect Walter Scott, Jr. as director
Echo Voted -- For	For	2) Approve, on an advisory basis, the compensation of named officers, as disclosed in the company's Proxy Statement at the Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission
Echo Voted -- 3 years	3 years	3) Select the preferred voting frequency -- one year, two years, three years or abstain -- as to when the company shall hold a shareholder vote to approve the compensation of the named officers
Echo Voted -- Against	Against	4) Establish quantitative goals for the reduction of greenhouse and other air emissions at its energy-generating holding

COMPANY NAME	MEETING DATE	CUSIP
Hatteras Financial Corp.	4-May-11	41902R-103

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Michael Hough (Chairman & CEO) as director
Echo Voted -- For	For	1.2) Elect Benjamin Hough (President & COO) as director
Echo Voted -- For	For	1.3) Elect David Berson as director
Echo Voted -- For	For	1.4) Elect Ira Kawaller as director
Echo Voted -- For	For	1.5) Elect Jeffrey Miller as director
Echo Voted -- For	For	1.6) Elect Thomas Wren as director
Echo Voted -- For	For	2) Ratify the selection of Ernst & Young LLP as the independent registered public accounting firm.
Echo Voted -- For	For	3) Approve, on an advisory basis, the compensation of named officers, as disclosed in the company's Proxy Statement at the Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission
Echo Voted -- 1 year	3 years	4) Select the preferred voting frequency -- one year, two years or abstain -- as to when the company shall hold a shareholder vote to approve the compensation of the named executive

COMPANY NAME	MEETING DATE	CUSIP
Gladstone Commercial Corporation	5-May-11	376536-108

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
For	For	1.1) Elect Michela English as director
For	For	1.2) Elect Anthony Parker as director
For	For	1.3) Elect George Stelljes III as director
For	For	2) Ratify the selection of PwC (PricewaterhouseCoopers LLP) as the independent registered public accounting firm.

COMPANY NAME	MEETING DATE	CUSIP
Horizon Technology Finance Corporation	5-May-11	44045A-102

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect David Swanson as director for a term ending 12/31/11
Echo Voted -- For	For	1.2) Elect James Bottiglieri as director for a term ending 12/31/11

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Echo Voted -- For	For	2) Ratify the selection of McGladrey & Pullen, LLP as the registered public accounting firm.
Echo Voted -- For	For	3) Authorize flexibility, with approval of Board of Directors, to sell shares of common stock (during the next 12 months) below the then current net asset value per share, subject to limitations described in the proxy statement.

COMPANY NAME	MEETING DATE	CUSIP
BlackRock Kelso Capital Corporation	9-May-11	092533-108

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1) Elect Jerrold Harris as director for a term ending 2011
Echo Voted -- For	For	2) Authorize flexibility for the Company, with approval of the Board of Directors, to sell or otherwise issue shares of common stock at a price below the Company's then current value per share in one or more offerings, subject to certain limitations set forth in the proxy statement.
Echo Voted -- For	For	3) Authorize the Company, with approval of the Board of Directors, to issue warrants, options or rights to subscribe to, or to purchase shares of the Company's common stock in one or more offerings.
Echo Voted -- For	For	4) Ratify the selection of Deloitte & Touche LLP as independent registered public accounting firm

COMPANY NAME	MEETING DATE	CUSIP
Markel	9-May-11	570535-104

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect J. Alfred Broaddus, Jr. as director
Echo Voted -- For	For	1.2) Elect Douglas Eby as director
Echo Voted -- For	For	1.3) Elect Stewart Kasen as director
Echo Voted -- For	For	1.4) Elect Alan Kirshner (Chairman & CEO) as director
Echo Voted -- For	For	1.5) Elect Lemuel Lewis as director
Echo Voted -- For	For	1.6) Elect Darrell Martin as director
Echo Voted -- For	For	1.7) Elect Anthony Markel (Vice-Chairman & ex-COO) as director
Echo Voted -- For	For	1.8) Elect Steven Markel (Vice Chairman) as director
Echo Voted -- For	For	1.9) Elect Jay Weinberg as director
Echo Voted -- For	For	1.10) Elect Debora Wilson as director
Echo Voted -- For	For	2) Approve, on an advisory basis, the compensation of named officers, as disclosed in the company's Proxy Statement for the Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission
Echo Voted -- 2 years	3 years	3) Select the preferred voting frequency -- one year, two years, three years or abstain -- as to when the company shall hold a shareholder vote to approve the compensation of the named officers.
Echo Voted -- For	For	4) Ratify the selection of KPMG LLP as the independent registered public accounting firm.

COMPANY NAME	MEETING DATE	CUSIP
Cypress Sharpridge Investments	11-May-11	23281A-307

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Kevin Grant (Chairman & CEO) as director

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Echo Voted -- For	For	1.2) Elect Douglas Crocker II as director
Echo Voted -- For	For	1.3) Elect Jeffrey Hughes (Vice-Chairman of Cypress) as
Echo Voted -- For	For	1.4) Elect Stephen Jonas as director
Echo Voted -- For	For	1.5) Elect Raymond Redlingshafer Jr. as director
Echo Voted -- For	For	1.6) Elect James Stern as director
Echo Voted -- For	For	1.7) Elect David Tyson, Ph.D. as director
Echo Voted -- For	For	2) Ratify the selection of Deloitte & Touche LLP as inde registered public accounting firm

Page 2

COMPANY NAME	MEETING DATE	CUSIP
NGP Capital Resources Company	11-May-11	62912R-107
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Edward Blessing as director for a term ending
Echo Voted -- For	For	2) Ratify the selection of PwC (PricewaterhouseCoopers L independent registered public accounting firm.
Echo Voted -- For	For	3) Adjourn the annual meeting, if necessary, to solicit proxies if there are not sufficient votes to approve ite

COMPANY NAME	MEETING DATE	CUSIP
W.R. Berkley	17-May-11	084423-102
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Rodney Hawes, Jr. as director
Echo Voted -- For	For	1.2) Elect Jack Nusbaum as director for a term ending 20
Echo Voted -- For	For	1.3) Elect Mark Shapiro as director for a term ending 20
Echo Voted -- For	For	2) Approve, on an advisory basis, the compensation of na officers, as disclosed in the company's Proxy Statement Annual Meeting of Shareholders pursuant to the compensat disclosure rules of the Securities and Exchange Commissi
Echo Voted -- 2 years	3 years	3) Select the preferred voting frequency -- one year, tw three years or abstain -- as to when the company shall h shareholder vote to approve the compensation of the name officers.
Echo Voted -- For	For	4) Ratify the selection of KPMG LLP as the independent r public accounting firm.

COMPANY NAME	MEETING DATE	CUSIP
Compass Diversified Holdings	19-May-11	20451Q-104
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect James Bottiglieri (CFO) as director for a ter
Echo Voted -- For	For	1.2) Elect Gordon Burns as director for a term ending 20
Echo Voted -- For	For	2) Approve, on an advisory basis, the compensation of na officers, as disclosed in the company's Proxy Statement Annual Meeting of Shareholders pursuant to the compensat disclosure rules of the Securities and Exchange Commissi
Echo Voted -- 1 year	2 years	3) Select the preferred voting frequency -- year, two y three years or abstain -- as to when the company shall h shareholder vote to approve the compensation of the name

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Echo Voted -- For	For	officers. 4) Ratify the selection of Grant Thornton LLP as independent public accounting firm
-------------------	-----	--

COMPANY NAME Fidelity National Financial	MEETING DATE 25-May-11	CUSIP 31620R-105
--	---------------------------	---------------------

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect William Foley, II (Chairman & ex-CEO) as director for a term ending 2014
Echo Voted -- For	For	1.2) Elect Douglas Ammerman as director for a term ending 2014
Echo Voted -- For	For	1.3) Elect Thomas Hagerty as director for a term ending 2014
Echo Voted -- For	For	1.4) Elect Peter Shea, Jr. as director for a term ending 2014
Echo Voted -- For	For	2) Approve an amendment and restatement of the Fidelity National Financial, Inc. Amended and Restated 2005 Omnibus Incentive Plan
Echo Voted -- For	For	3) Approve the material terms of the Fidelity National Financial 2005 Annual Incentive Plan in order for such plan to grant awards that shall qualify as performance-based compensation for purposes of Section 162(M) of the Internal Revenue Code.
Echo Voted -- For	For	4) Approve, on an advisory basis, the compensation of named executive officers, as disclosed in the company's Proxy Statement for the Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission.
Echo Voted -- 1 year	1 year	5) Select the preferred voting frequency -- one year, two years, three years or abstain -- as to when the company shall hold a shareholder vote to approve the compensation of the named executive officers.
Echo Voted -- For	For	6) Ratify the selection of KPMG LLP as the independent registered public accounting firm.

COMPANY NAME Annaly Capital Management, Inc.	MEETING DATE 26-May-11	CUSIP 035710-409
--	---------------------------	---------------------

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Michael Farrell (Chairman, President, & CEO) as director for a term ending 2014
Echo Voted -- For	For	1.2) Elect Jonathan Green as director for a term ending 2014
Echo Voted -- For	For	1.3) Elect John Lambiase as director for a term ending 2014
Echo Voted -- For	For	2) Amend the charter to increase the number of authorized shares to 2,000,000,000 shares.
Echo Voted -- For	For	3) Approve, on an advisory basis, the compensation of named executive officers, as disclosed in the company's Proxy Statement for the Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission.
Echo Voted -- 1 year	3 years	4) Select the preferred voting frequency -- one year, two years, three years or abstain -- as to when the company shall hold a shareholder vote to approve the compensation of the named executive officers.
Echo Voted -- For	For	5) Ratify the selection of Deloitte & Touche LLP as independent registered public accounting firm

COMPANY NAME NorthStar Realty Finance Corp.	MEETING DATE 26-May-11	CUSIP 66704R-100
---	---------------------------	---------------------

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect C. Preston Butcher as director
Echo Voted -- For	For	1.2) Elect Stephen Cummings as director
Echo Voted -- For	For	1.3) Elect David Hamamoto (Chairman & CEO) as director
Echo Voted -- For	For	1.4) Elect Judith Hannaway as director
Echo Voted -- For	For	1.5) Elect Oscar Junquera as director
Echo Voted -- For	For	1.6) Elect Wesley Minami as director
Echo Voted -- For	For	1.7) Elect Louis Paglia as director
Echo Voted -- For	For	1.8) Elect Sridhar Sambamurthy as director
Echo Voted -- For	For	2) Ratify the selection of Grant Thornton LLP as independent registered public accounting firm
Echo Voted -- For	For	3) Approve, on an advisory basis, the compensation of named officers, as disclosed in the company's Proxy Statement
Echo Voted -- 1 year	1 year	Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission
		4) Select the preferred voting frequency -- one year, two years or abstain -- as to when the company shall hold a shareholder vote to approve the compensation of the named officers.

Page 3

COMPANY NAME	MEETING DATE	CUSIP
Hercules Technology Growth Capital, Inc.	1-Jun-11	427096-508

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
unvoted -- Echo Vote requested, not implemented	For	1.1) Elect Robert Badavas as director for a term ending
unvoted -- Echo Vote requested, not implemented	For	1.2) Elect Joseph Chow as director for a term ending 201
unvoted -- Echo Vote requested, not implemented	For	2) Ratify the selection of PwC (PricewaterhouseCoopers LLP) as independent registered public accounting firm.
unvoted -- Echo Vote requested, not implemented	For	3) Approve, on an advisory basis, the compensation of named officers, as disclosed in the company's Proxy Statement
unvoted -- Echo Vote requested, not implemented	1 year	Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission
unvoted -- Echo Vote requested, not implemented	For	4) Select the preferred voting frequency -- one year, two years or abstain -- as to when the company shall hold a shareholder vote to approve the compensation of the named executive officers
unvoted -- Echo Vote requested, not implemented	For	5) Authorize the Company, with approval of the board of directors, to sell or otherwise issue up to 20% of the Company's outstanding common stock at a price below the Company's then current net asset value per share
unvoted -- Echo Vote requested, not implemented	For	6) Authorize the Company, with approval of the board of directors, to offer and issue debt with warrants or debt convertible into its common stock at an exercise or conversion price that is at least equal to the fair market value per share but may be below the Company's NAV per share.
unvoted -- Echo Vote requested, not implemented	For	7) Approve an amendment to the Company's 2004 Equity Incentive Plan to increase the number of shares authorized for issuance.

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

COMPANY NAME	MEETING DATE	CUSIP
MCG Capital Corporation	1-Jun-11	58047P-107
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
unvoted -- Echo Vote requested, not implemented	For	1.1) Elect Wallace Millner, III (ex-Chairman) as director ending 2014
unvoted -- Echo Vote requested, not implemented	For	1.2) Elect Richard Neu (Chairman) as director for a term
unvoted -- Echo Vote requested, not implemented	For	1.3) Elect B. Hagen Saville as director for a term ending
unvoted -- Echo Vote requested, not implemented	For	2) Ratify the selection of Ernst & Young LLP as the independent registered public accounting firm.
unvoted -- Echo Vote requested, not implemented	For	3) Approve, on an advisory basis, the compensation of named officers, as disclosed in the company's Proxy Statement Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission
unvoted -- Echo Vote requested, not implemented	1 year	4) Select the preferred voting frequency -- one year, two years or abstain -- as to when the company shall hold a vote to approve the compensation of the named executive

COMPANY NAME	MEETING DATE	CUSIP
Ares Capital Corporation	6-Jun-11	04010L-103
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Michael Arougheti (President) as director for term ending 2014
Echo Voted -- For	For	1.2) Elect Ann Torre Bates as director for a term ending 2014
Echo Voted -- For	For	1.3) Elect Kenneth Heitz as director for a term ending 2014
Echo Voted -- For	For	2) Ratify the selection of KPMG LLP as the independent registered public accounting firm.
Echo Voted -- For	For	3) Authorize the company to sell or otherwise issue shares of common stock at a price below its then current net asset value per share, subject to the limitations, including that the number of shares issued do not exceed 25% of its then outstanding common stock.
Echo Voted -- For	For	4) Authorize the Company to amend its Investment Advisor Management Agreement between the Company and Ares Capital Management LLC pursuant to the hurdle amendment.
Echo Voted -- For	For	5) Authorize the Company to amend its Investment Advisor Management Agreement between the Company and Ares Capital Management LLC pursuant to the capital gains amendment.

COMPANY NAME	MEETING DATE	CUSIP
TICC Capital Corp.	7-Jun-11	87244T-109
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Charles Royce (Chairman) as director for a term ending 2014
Echo Voted -- For	For	1.2) Elect Steven Novak as director for a term ending 2014
Echo Voted -- For	For	2) Ratify the selection of PwC (PricewaterhouseCoopers LLP) as independent registered public accounting firm.
Echo Voted -- For	For	3) Authorize the company to issue securities to subscribers, or purchase shares of its common stock in one or more

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Echo Voted -- For	For	4) Approve a new Investment Advisory Agreement by and between Capital Corp. and TICC Management, LLC, on terms identical to the current Investment Advisory Agreement, except for the date of execution, under which TICC Management, LLC will continue to serve as investment advisor to TICC Capital Corp.
-------------------	-----	--

COMPANY NAME	MEETING DATE	CUSIP
Solar Capital Ltd.	9-Jun-11	83413U-100

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Bruce Spohler (COO) as director for a term ending 12/31/11.
Echo Voted -- For	For	1.2) Elect Steven Hochberg as director for a term ending 12/31/11.
Echo Voted -- For	For	2) Ratify the selection of KPMG LLP as the independent registered public accounting firm.
Echo Voted -- For	For	3) Authorize Company, with approval of its board of directors, to sell shares of its common stock at a price or prices below then-current net asset value per share in one or more offerings.
Echo Voted -- Against	For	4) Authorize Company to issue securities to subscribe to or purchase shares of its common stock in one or more offerings.

COMPANY NAME	MEETING DATE	CUSIP
THL Credit, Inc.	9-Jun-11	872438-106

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect David Downes as director for a term ending 12/31/11.
Echo Voted -- For	For	1.2) Elect Nancy Hawthorne as director for a term ending 12/31/11.
Echo Voted -- For	For	2) Ratify the selection of PwC (PricewaterhouseCoopers LLP) as the independent registered public accounting firm.
Echo Voted -- For	For	3) Authorize the Company to sell or otherwise issue up to 10% of the Company's outstanding common stock at a price below the then current NAV.
Echo Voted -- For	For	4) Authorize the Company to issue warrants, options or restricted stock to subscribe to, convert to, or purchase common stock in one or more offerings.

COMPANY NAME	MEETING DATE	CUSIP
Kohlberg Capital Corporation	10-Jun-11	500233-101

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "SPECIAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Albert Pastino as director
Echo Voted -- For	For	1.2) Elect C. Michael Jacobi as director
Echo Voted -- For	For	1.3) Elect Samuel Frieder as director
Echo Voted -- For	For	2) Approve the Company's amended and restated non-employee director compensation plan.
Echo Voted -- For	For	2) Approve, on an advisory basis, the compensation of the named executive officers, as disclosed in the company's Proxy Statement for the Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission.
Echo Voted -- 1 year	1 year	3) Select the preferred voting frequency -- one year, two years or three years or abstain -- as to when the company shall have a shareholder vote to approve the compensation of the named executive officers.
Echo Voted -- For	For	2) Ratify the selection of Grant Thornton LLP as independent registered public accounting firm

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Page 4

COMPANY NAME	MEETING DATE	CUSIP
Kohlberg Capital Corporation	10-Jun-11	500233-101
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "SPECIAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1) Approve a proposal to authorize the Company, with approval of the board of directors, to sell shares of its common stock, at a price below the then current net asset value per share, or warrants, options or rights to acquire such common stock, subject to certain limitations described in the proxy statement.

COMPANY NAME	MEETING DATE	CUSIP
Triangle Capital Corporation	13-Jun-11	895848-109
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Garland Tucker, III (Chairman, CEO, & President) as director
Echo Voted -- For	For	1.2) Elect Brent Burgess as director
Echo Voted -- For	For	1.3) Elect Stephen Lilly as director
Echo Voted -- For	For	1.4) Elect W. McComb Dunwoody as director
Echo Voted -- For	For	1.5) Elect Mark Gambill as director
Echo Voted -- For	For	1.6) Elect Benjamin Goldstein as director
Echo Voted -- For	For	1.7) Elect Simon Rich, Jr. as director
Echo Voted -- For	For	1.8) Elect Sherwood Smith, Jr. as director
Echo Voted -- For	For	2) Approve a proposal to authorize the Company, pursuant to the authority granted to the board of directors, to sell shares of its common stock at a price below the then current net asset value (i.e., book value) per share.
Echo Voted -- For	For	3) Approve a proposal to offer and issue debt securities convertible into shares of our common stock.
Echo Voted -- For	For	4) Ratify the selection of Ernst & Young LLP as the independent registered public accounting firm.
Echo Voted -- For	For	5) Approve, on an advisory basis, the compensation of named executive officers, as disclosed in the company's Proxy Statement for the Annual Meeting of Shareholders pursuant to the compensation rules of the Securities and Exchange Commission.
Echo Voted -- 3 years	3 years	5) Select the preferred voting frequency -- one year, two years, three years or abstain -- as to when the company shall hold a shareholder vote to approve the compensation of the named executive officers.

COMPANY NAME	MEETING DATE	CUSIP
Main Street Capital Corporation	15-Jun-11	56035L-104
VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Michael Appling Jr. as director
Echo Voted -- For	For	1.2) Elect Joseph Canon as director
Echo Voted -- For	For	1.3) Elect Arthur French as director
Echo Voted -- For	For	1.4) Elect William Gutermuth as director

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form N-PX

Echo Voted -- For	For	1.5) Elect Vincent Foster (Chairman & CEO) as director
Echo Voted -- For	For	1.6) Elect Todd Reppert (President & CFO) as director
Echo Voted -- For	For	2) Authorize the company to sell shares of its common stock over the next 12 months at a price below the then-current net asset value (NAV) per share
Echo Voted -- For	For	3) Approve, on an advisory basis, the compensation of named executive officers, as disclosed in the company's Proxy Statement for the Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission
Echo Voted -- 1 year	3 years	4) Select the preferred voting frequency -- one year, two years, three years or abstain -- as to when the company shall hold a shareholder vote to approve the compensation of the named executive officers.

COMPANY NAME	MEETING DATE	CUSIP
Medallion Financial Corp.	17-Jun-11	583928-106

VOTE	MANAGEMENT FOR/AGAINST	PROPOSALS AT "ANNUAL MEETING OF SHAREHOLDERS"
Echo Voted -- For	For	1.1) Elect Henry Aaron as director for a term ending 2013
Echo Voted -- For	For	1.2) Elect Henry Jackson as director for a term ending 2013
Echo Voted -- For	For	1.3) Elect Alvin Murstein (Chairman & CEO) as director for a term ending 2014
Echo Voted -- For	For	2) Ratify the selection of Weisermazars LLP as the independent registered public accounting firm.
Echo Voted -- For	For	3) Approve, on an advisory basis, the compensation of named executive officers, as disclosed in the company's Proxy Statement for the Annual Meeting of Shareholders pursuant to the compensation disclosure rules of the Securities and Exchange Commission

Page 5

SIGNATURES

[See General Instruction F]

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) FIRST TRUST SPECIALTY FINANCE
AND FINANCIAL OPPORTUNITIES FUND

By (Signature and Title)* /s/ James A. Bowen

James A. Bowen, President

Date

August 31, 2011

* Print the name and title of each signing officer under his or her signature.