MBT FINANCIAL CORP

Form 4 April 11, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

LIETO DONALD M		Symbol MRT FINANCIAL CORD (MRTF)					OTE1	Issuer			
(Last)	(First)	(Middle)	MBT FINANCIAL CORP [MBTF]  3. Date of Earliest Transaction				(Check all applicable)				
102 E. FRONT ST.		(Month/Day/Year) 04/10/2017						Director 10% Owner Officer (give title Other (specify below)			
				If Amendment, Date Original					6. Individual or Joint/Group Filing(Check		
MONROE, MI 48161			Filed(Mon	Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	Date 2A. Deemed ear) Execution Date, if any (Month/Day/Year)		Code (Instr. 8)	ion( (	on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/10/2017			Code V	_	Amount 2,869	(D)	Price \$ 4.9	65,302	D	
Common Stock	04/10/2017			M	2	2,416	A	\$ 5.79	67,718	D	
Common Stock	04/10/2017			M	۷	469	A	\$ 8.26	68,187	D	
Common Stock	04/10/2017			D	]	1,820	D	\$ 11.5	66,367	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number ction Derivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Stock Appreciation Rights (stock only)	\$ 4.9	04/10/2017		M		5,000	12/31/2016	03/07/2024	Common Stock	2,869
Stock Appreciation Rights (stock only)	\$ 5.79	04/10/2017		M		4,866	12/31/2016	05/28/2025	Common Stock	2,410
Stock Appreciation Rights (stock only)	\$ 8.26	04/10/2017		M		1,666	12/31/2016	02/25/2026	Common Stock	469

# **Reporting Owners**

Reporting Owner Name / Address			Keiationsnips	
	Director	10% Owner	Officer	Other
LIETO DONALD M				

102 E. FRONT ST. MONROE, MI 48161 **Executive Vice President** 

## **Signatures**

Reporting Person

/s/ Donald M. Lieto	04/11/2017
**Signature of	Date

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.