#### Edgar Filing: BRYN MAWR BANK CORP - Form 5

BRYN MAWR BANK CORP Form 5 February 13, 2017 FORM 5

Check this box if

no longer subject

to Section 16.

5 obligations

may continue. See Instruction

1(b).

Reported

Form 4 Transactions Reported

(Last)

(City)

Form 4 or Form

#### OMB APPROVAL OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 January 31, Expires: 2005 Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL burden hours per **OWNERSHIP OF SECURITIES** response... 1.0 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Symbol BRYN MAWR BANK CORP

LETO FRANCIS J

(First)

[BMTC]

(Month/Day/Year)

Filed(Month/Day/Year)

12/31/2016

(Middle)

(Zin

**801 LANCASTER AVENUE** 

(Street)

BRYN MAWR, PAÂ 19010

(State)

\_\_X\_\_ Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

below) President and CEO

(Check all applicable)

6. Individual or Joint/Group Reporting

(check applicable line)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person

(City)	(State) (A	Zip) Table	e I - Non-Deri	vative Sec	curitie	s Acqui	ired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3, Amount	d (A) o d of (D 4 and (A) or	)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	Â	35,188 <u>(2)</u>	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	203	Ι	Held in 401(K) Plan

3. Statement for Issuer's Fiscal Year Ended

4. If Amendment, Date Original

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 2270** (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Secu Acqu (A) o	vativo urities uired or oosed O) r. 3,			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options to Purchase Common Stock (1)	\$ 24.27	Â	Â	Â	Â	Â	08/18/2009	08/18/2018	Common Stock	5,264
Options to Purchase Common Stock (1)	\$ 18.27	Â	Â	Â	Â	Â	08/21/2010	08/21/2019	Common Stock	11,500
Restricted Stock Units	Â	Â	Â	Â	Â	Â	(2)	(2)	Common Stock	2,739

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1 8	Director	10% Owner	Officer	Other				
LETO FRANCIS J 801 LANCASTER AVENUE BRYN MAWR, PA 19010	ÂX	Â	President and CEO	Â				

## **Signatures**

/s/ Francis J. Leto <u>\*\*Signature of</u> Reporting Person 02/13/2017 Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These options were granted to the reporting person under BMBC's 2007 Long-Term Incentive Plan.

(2)

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Represents 2,739 shares of common stock underlying the portion of a performance stock unit granted to the Reporting Report Person on August 12, 2016 that is subject to time-based vesting at each August 12, 2017, August 12, 2018, and August 12, 2019. Each Restricted Stock Unit represents a contingent right to receive one share of Bryn Mawr Bank Corporation common stock. Note: Disclosure of these 2,739 shares underlying the Restricted Stock Units has been moved from Table I to Table II in this Form 5 for administrative convenience, and will be reported on Table II of the Reporting Person's filings going forward until the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.