# COLLECTORS UNIVERSE INC

Form 8-K August 17, 2016

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#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 OR 15(d) of

The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 17, 2016

COLLECTORS UNIVERSE, INC.

(Exact name of registrant as specified in its charter)

Delaware 1-34240 33-0846191

(State or other jurisdiction of incorporation) (Commission File Number) (IRS Employer Identification No.)

1921 E.

Alton

Avenue, 92705

Santa Ana,

California

(Address (Zip Code)

of

principal

executive

offices)
Registrant's telephone number, including area code: (949) 567-1234
N/A
(Former name or former address, if changed since last report)
Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:
Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### **Item 7.01 Regulation FD Disclosure**

On August 17, 2016, Collectors Universe, Inc. issued a press release reporting that David G. Hall, the Company's President and Chief Operating Officer, has undergone a recent surgery and is expected to make a full recovery and return to work in a few weeks. A copy of the press release is attached as Exhibit 99.1.

In accordance with General Instruction B.2 of Form 8-K, the information in this Item 7.01 of this Report, and Exhibit 99.1 hereto, are being furnished and shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that Section, nor shall such information or such Exhibit be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended (the "Securities Act").

#### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

## **Exhibit No. Description**

Press Release issued August 17, 2016 reporting on David Hall's recent surgery and expected full recovery.

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### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this Current Report to be signed on its behalf by the undersigned hereunto duly authorized.

## COLLECTORS UNIVERSE, INC.

Dated: August 17, 2016 By: /s/ JOSEPH J. WALLACE

Joseph J. Wallace, Chief Financial Officer

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### **EXHIBIT INDEX**

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Press Release issued August 17, 2016 reporting on David Hall's recent surgery and expected full recovery.

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