Armour Residential REIT, Inc.

Form 4

May 04, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* **ULM SCOTT** 

(Street)

2. Issuer Name and Ticker or Trading

Symbol

Armour Residential REIT, Inc.

[ARR]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First) (Middle) 3. Date of Earliest Transaction

X\_ Officer (give title below)

Issuer

10% Owner Other (specify

3001 OCEAN DRIVE, SUITE #201

05/04/2016

(Month/Day/Year)

Co-CEO and CIO

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

\_X\_\_ Director

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

VERO BEACH, FL 32963

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) **Following** or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount Price

Common

\$0.001 per

Stock, par 05/04/2016 P value

\$ 10,000 19.8527 58,032 Α

(1)

share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Armour Residential REIT, Inc. - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.<br>T    | 5.         | 6. Date Exer        |                 | 7. Titl |          | 8. Price of | 9. Nu   |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------------|-----------------|---------|----------|-------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if |            | ionNumber  | Expiration D        |                 | Amou    |          | Derivative  | Deriv   |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day          | (Year)          | Under   | , ,      | Security    | Secui   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e                   |                 | Securi  | ities    | (Instr. 5)  | Bene    |
|             | Derivative  |                     |                    |            | Securities | 3                   |                 | (Instr. | 3 and 4) |             | Owne    |
|             | Security    |                     |                    |            | Acquired   |                     |                 |         |          |             | Follo   |
|             | •           |                     |                    |            | (A) or     |                     |                 |         |          |             | Repo    |
|             |             |                     |                    |            | Disposed   |                     |                 |         |          |             | Trans   |
|             |             |                     |                    |            | of (D)     |                     |                 |         |          |             | (Instr  |
|             |             |                     |                    |            | (Instr. 3, |                     |                 |         |          |             | (211512 |
|             |             |                     |                    |            | 4, and 5)  |                     |                 |         |          |             |         |
|             |             |                     |                    |            | 4, and 3)  |                     |                 |         |          |             |         |
|             |             |                     |                    |            |            |                     |                 |         | Amount   |             |         |
|             |             |                     |                    |            |            | <b>.</b>            | <b>.</b>        |         | or       |             |         |
|             |             |                     |                    |            |            | Date<br>Exercisable | Expiration Date | Title   | Number   |             |         |
|             |             |                     |                    |            |            |                     |                 |         | of       |             |         |
|             |             |                     |                    | Code V     | (A) (D)    |                     |                 |         | Shares   |             |         |

## **Reporting Owners**

| Reporting Owner Name / Address                                      | Relationships |           |                |       |  |  |  |
|---|---------------|-----------|----------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer        | Other |  |  |  |
| ULM SCOTT<br>3001 OCEAN DRIVE<br>SUITE #201<br>VERO BEACH, FL 32963 | X             |           | Co-CEO and CIO |       |  |  |  |

## **Signatures**

/s/ Scott J. Ulm 05/04/2016 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$19.82 to \$19.86, inclusive. The reporting person undertakes to provide ARMOUR Residential REIT, Inc., any security holder of ARMOUR Residential REIT, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote (1).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2