

ROHDE BRUCE
Form 4
February 05, 2010

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ROHDE BRUCE

(Last) (First) (Middle)

BROADPOINT GLEACHER
SECURITIES GROUP INC, 12
EAST 49TH STREET, 31ST
FLOOR

(Street)

NEW YORK, NY 10017

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BROADPOINT GLEACHER
SECURITIES GROUP, INC.
[BPSG]

3. Date of Earliest Transaction
(Month/Day/Year)
02/03/2010

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	02/03/2010		P		1,000	A	\$ 4.1
Common Stock	02/03/2010		P		4,794	A	\$ 4.14
Common Stock	02/03/2010		P		5,611	A	\$ 4.15
Common Stock	02/03/2010		P		7,500	A	\$ 4.16

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Stock							
Common Stock	02/03/2010	P	3,000	A	\$ 4.169	21,905	D
Common Stock	02/03/2010	P	17,595	A	\$ 4.17	39,500	D
Common Stock	02/03/2010	P	6,200	A	\$ 4.179	45,700	D
Common Stock	02/03/2010	P	24,300	A	\$ 4.18	70,000	D
Common Stock	02/03/2010	P	5,000	A	\$ 4.189	75,000	D
Common Stock	02/03/2010	P	9,000	A	\$ 4.19	84,000	D
Common Stock	02/03/2010	P	2,000	A	\$ 4.199	86,000	D
Common Stock	02/03/2010	P	14,000	A	\$ 4.2	100,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

Reporting Owners

Reporting Owner Name / Address

Relationships

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Director 10% Owner Officer Other

ROHDE BRUCE
BROADPOINT GLEACHER SECURITIES GROUP INC
12 EAST 49TH STREET, 31ST FLOOR
NEW YORK, NY 10017

X

Signatures

/s/ Patricia A. Arciero-Craig, Attorney-in-fact, for Bruce
Rohde

02/05/2010

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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