#### RUDOLPH TECHNOLOGIES INC

Form 4

January 31, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287 Number:

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(City)

(Print or Type Responses)

may continue.

1. Name and Address of Reporting Person \* Gardner Steven D.

2. Issuer Name and Ticker or Trading

Symbol

RUDOLPH TECHNOLOGIES INC

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

[RTEC]

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Officer (give title \_\_X\_ Other (specify

below)

C/O RUDOLPH TECHNOLOGIES. **INC., 16 JONSPIN ROAD** 

(Street)

(State)

(First)

01/27/2017

4. If Amendment, Date Original

VP of Engineering LSG

6. Individual or Joint/Group Filing(Check Applicable Line)

below)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

WILMINGTON, MA 01887

Tubbe 1 Non Berryanive Securities required, Bisposed 61, or Benericany 6 whea								
		3. Transactio			5. Amount of Securities		7. Nature of Indirect	
(manual buj, a bul)	any	* *			Beneficially	(D) or	Beneficial	
	(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
					Č	(Instr. 4)	(Instr. 4)	
			(A)		Transaction(s)			
		Code V			(Instr. 3 and 4)			
01/27/2017		D		,	21,985	D		
01/28/2017		D	377 D	23.3	21,608	D		
01/28/2017		D	453 <u>(1)</u> D	\$ 23.3	21,155	D		
	(Month/Day/Year) 01/27/2017 01/28/2017	2. Transaction Date (Month/Day/Year)  2A. Deemed Execution Date, if any (Month/Day/Year)  01/27/2017  01/28/2017	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 8)  Code V  01/27/2017 D	2. Transaction Date (Month/Day/Year)	2. Transaction Date (Month/Day/Year)    2A. Deemed   Execution Date, if any (Month/Day/Year)    (Month/Day/Year)    2A. Deemed   Execution Date, if any (Month/Day/Year)    (Month/Day/Year)    2A. Deemed   Execution Date, if any (Code (D) (Instr. 3, 4 and 5)    (Instr. 8)    (A) or Deemed   (A) or Deemed   (B) Or Or Derice    (Code V Amount (D) Price   (D) Or Or Derice    (D) Or Or Derice    (D) Or Or Derice    (D) Or Or Derice    (D) Or Or Derice   (D) Or Or Derice    (D) Or Or Derice    (D) Or Or Derice   (D) Or Or Derice    (D) Or Or Or Derice    (D) Or	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  2. Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)  2. Transaction(A) or Disposed of Code (D) (Instr. 8)  2. Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5)  2. Transaction(B) or Code (D) (Instr. 8)  2. Transaction(B) or Code (D) (Instr. 3, 4 and 5)  2. Transaction(B) or Code (D) (Instr. 3, 4 and 5)  3.	2. Transaction Date (Month/Day/Year)   2A. Deemed   3.   4. Securities Acquired (Month/Day/Year)   5. Amount of Execution Date, if any (Month/Day/Year)   Code (D) (Instr. 8)   (Instr. 3, 4 and 5)   Code (D) Owned Following Reported Transaction(s) (Instr. 3 and 4)   Code V Amount (D) Price   Price   Code V Amount (D) Price   Code	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

**SEC 1474** (9-02)

#### Edgar Filing: RUDOLPH TECHNOLOGIES INC - Form 4

# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2.	3. Transaction Date (Month/Day/Year)		4.	5. onNumber	6. Date Exerc		7. Titl		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Tear)	any (Month/Day/Year)	Code (Instr. 8)	of			Under Secur	rlying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gardner Steven D. C/O RUDOLPH TECHNOLOGIES, INC. 16 JONSPIN ROAD WILMINGTON, MA 01887

VP of Engineering LSG

## **Signatures**

By: /s/ Robert A. Koch For: Steven D. Gardner

01/31/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld by Issuer to satisfy tax withholding obligations in connection with the vesting of restricted stock units grants previously received by the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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