Cellular Biomedicine Group, Inc.

Form 4

September 23, 2015

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Chan Andrew K	2. Issuer Name <b>and</b> Ticker or Trading Symbol Cellular Biomedicine Group, Inc. [CBMG]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle) 530 UNIVERSITY AVENUE, #17	3. Date of Earliest Transaction (Month/Day/Year) 09/21/2015	Director 10% Owner Officer (give titleX_ Other (specify below)  SVP Corp Bus Dev		
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
PALO ALTO, CA 94301		Form filed by More than One Reporting Person		

(City)	(State) (2	Zip) Table	e I - Non-D	erivative S	Securit	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	09/21/2015		M	1,296	A	\$3	155,274	D	
Common Stock	09/21/2015		S	1,296	D	\$ 20.8	153,978	D	
Common Stock	09/21/2015		M	1,516	A	\$ 5.61	155,494	D	
Common Stock	09/21/2015		S	1,516	D	\$ 20.8	153,978	D	
Common Stock	09/21/2015		S	25,115	D	\$ 20.8	128,863	D	

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Common 09/21/2015 M 33,333 A \$ 3 162,196 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	erivative Expiration Dat ecurities (Month/Day/Y cquired (A) r Disposed of D) nstr. 3, 4,		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy) (2011 Plan)	\$ 3	09/21/2015		M	1,296	<u>(1)</u>	02/20/2023	Common Stock	1,2
Non- Qualified Stock Option (right to buy) (2013 Plan)	\$ 5.61	09/21/2015		M	1,516	<u>(2)</u>	05/16/2024	Common Stock	1,5
Incentive Stock Option (right to buy) (2011 Plan)	\$ 3	09/21/2015		M	33,333	(3)	02/20/2023	Common Stock	33,3

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Director 10% Owner Officer Other

Chan Andrew K 530 UNIVERSITY AVENUE, #17 PALO ALTO, CA 94301

SVP Corp Bus Dev

2 Reporting Owners

### **Signatures**

/s/ Andrew Chan 09/23/2015

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested according to the following schedule: 1/36th per month following the vesting commencement date of February 20 2013.
- (2) The option vested according to the following schedule: 1/31st per month following the vesting commencement date of May 16, 2014.
- (3) This option vested in February 20, 2015, two years following the grant date of February 20, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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