

Harvey Charles A  
Form 4  
November 04, 2009

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Harvey Charles A

2. Issuer Name and Ticker or Trading Symbol  
JOHNSON CONTROLS INC [JCI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5757 N. GREEN BAY AVENUE, P.O. BOX 591  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/02/2009

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President

MILWAUKEE, WI 53201-0591

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/02/2009		F		1,539	D	\$ 24.71
Common Stock	11/02/2009		M		201.657	A	\$ 24.71
Common Stock	11/02/2009		D		201.657	D	\$ 24.71
Common Stock	11/02/2009		A		101.508	A	\$ 24.6286
Common Stock	11/02/2009		G		1,030	D	\$ 0

Edgar Filing: Harvey Charles A - Form 4

Common Stock	7,391.757 <sup>(2)</sup> I	By 401(k) Plan Trust
-----------------	----------------------------	-------------------------------

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title Underly (Instr. 3)
				Code V (A) (D)	Date Exercisable	Expiration Date	Title
Phantom Stock Units - Restricted Stock Plan	<u>(3)</u>	11/02/2009		A	6,500	11/02/2011 <sup>(4)</sup> 11/02/2013 <sup>(4)</sup>	Comr Stoc
Phantom Stock Units - Restricted Stock Plan	<u>(3)</u>	11/02/2009		M	201.656	<u>(5)</u> <u>(5)</u>	Comr Stoc
Phantom Stock Units/Annual Incentive Plan	<u>(3)</u>					<u>(6)</u> <u>(6)</u>	Comr Stoc
Employee Stock Option (Right to Buy)	\$ 17.5167					11/19/2005 11/19/2013	Comr Stoc
Employee Stock Option (Right to Buy)	\$ 20.5633					11/17/2006 11/17/2014	Comr Stoc
Employee Stock Option (Right to Buy)	\$ 22.5617					11/16/2007 11/16/2015	Comr Stoc

Employee Stock Option (Right to Buy)	\$ 23.965			10/02/2008	10/02/2016	Comr Stoc
Employee Stock Option (Right to Buy)	\$ 40.21			10/01/2009 <sup>(7)</sup>	10/01/2017	Comr Stoc
Employee Stock Option (Right to Buy)	\$ 28.79			10/01/2010 <sup>(7)</sup>	10/01/2018	Comr Stoc
Employee Stock Option (Right to Buy)	\$ 24.87			10/01/2011 <sup>(7)</sup>	10/01/2019	Comr Stoc

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Harvey Charles A 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591			Vice President	

## Signatures

Angela M. Blair, Attorney-in-Fact for Charles A. Harvey  
11/04/2009

    Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares of restricted stock which vest as follows: 7,500 shares on January 3, 2010 and 4,500 shares on November 1, 2011.  
The number of underlying securities is based on the stock fund balance on October 30, 2009. The actual number of shares issuable upon
- (2) the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a October 30, 2009, stock fund price of \$23.92 per share.
- (3) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock.  
Consists of an award of 6,500 restricted stock units granted on November 2, 2009, which vest as follows: 3,250 units on November 2,
- (4) 2011, and 3,250 units on November 2, 2013. The phantom stock units representing the award and the dividends which accrue during the restrictive period are to be settled 100% in cash, pending vesting.
- (5) The restricted stock units represent the reinvestment of dividends which are accruing under the Johnson Controls deferred Restricted Stock Plan and are to be settled 100% in cash upon the vesting of the restricted stock grant to which they relate.
- (6) Shares of phantom stock are payable in cash following the reporting person's termination of employment with the issuer and may be transferred by the reporting person into an alternative investment account at any time.

## Edgar Filing: Harvey Charles A - Form 4

(7) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.