ADA-ES INC Form 3

August 14, 2006									
FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF						OMB APPROVAL			
						OMB Number:	3235-0104		
						Expires:	January 31,		
	17(a) of the F	SECU ection 16(a) of the Public Utility Ho of the Investmen	lding Company	y Act of 1935 o		Estimated a burden hou response	irs per		
(Print or Type Responses)									
1. Name and Address of Repor Person <u>*</u> Swanson Richard John	n (Mo	ate of Event Requiri ement onth/Day/Year)	ng 3. Issuer Nan ADA-ES I	ne <b>and</b> Ticker or T NC [ades]	ıbol				
	(Mildule)	10/2006				5. If Amendment, Date Original Filed(Month/Day/Year)			
8100 SOUTHPARK WA B	Y UNIT	(Check all applicable)							
(Street) LITTLETON, CO 80	OfficerOther Filing (give title below) (specify below) _X_F Person								
						rm filed by Mo ing Person	re than One		
(City) (State)	(Zip)	Table I	- Non-Deriva	tive Securities	Beneficially Owned				
1.Title of Security (Instr. 4)			nt of Securities lly Owned	Ownership O	Nature of I wnership nstr. 5)	Indirect Benef	īcial		
Reminder: Report on a separate owned directly or indirectly.	e line for each cla	ass of securities bene	eficially S	SEC 1473 (7-02)					
informa required	tion contained to respond u	to the collection in this form are in nless the form dis ontrol number.	not						
Table II - Deriv	ative Securities	Beneficially Owned	l (e.g., puts, calls	, warrants, option	ıs, converti	ble securities	5)		
1. Title of Derivative Security (Instr. 4)	2. Date Ex Expiration		itle and Amount o urities Underlying		5. Ownershi		e of Indirect al Ownership		

(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Swanson Richard John 8100 SOUTHPARK WAY UNIT B LITTLETON, CO 80120	ÂX	Â	Â	Â	
Signatures					
Richard Swanson by power of attorney	08/14/2006				
**Signature of Reporting Person	Date				

## **Explanation of Responses:**

## No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.