

Edgar Filing: Penumbra Inc - Form 8-K

Penumbra Inc  
Form 8-K  
November 18, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM 8-K

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CURRENT REPORT

Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

November 15, 2016  
Date of Report (Date of earliest event reported)

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Penumbra, Inc.  
(Exact name of registrant as specified in its charter)

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|--|---------------------------------------|--|
| Delaware<br>(State or other jurisdiction of incorporation or<br>organization)<br>One Penumbra Place<br>Alameda, CA 94502<br>(Address of principal executive offices, including zip code) | 001-37557<br>(Commission File<br>No.) | 05-0605598<br>(I.R.S. employer identification<br>number) |
|--|---------------------------------------|--|

(510) 748-3200  
(Registrant's telephone number, including area code)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligations of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers;  
Item 5.02. Compensatory Arrangements of Certain Officers.

(b) On November 15, 2016, Kevin Sullivan notified Penumbra, Inc. (the Company) of his decision to resign from the Board of Directors of the Company (the Board) and the Nominating and Corporate Governance Committee of the Board for personal health reasons, effective immediately. The decision by Mr. Sullivan was not a result of any disagreement with the Company or the Board.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Penumbra, Inc.

Date: November 18, 2016 By: /s/ Sri Kosaraju  
Sri Kosaraju  
Chief Financial Officer and Head of Strategy