

ConforMIS Inc
Form 4
January 11, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Lang Philipp

(Last) (First) (Middle)

C/O CONFORMIS, INC., 28
CROSBY DRIVE

(Street)

BEDFORD, MA 01730

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ConforMIS Inc [CFMS]

3. Date of Earliest Transaction
(Month/Day/Year)
01/09/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	01/09/2017		M		1,500	A	\$ 5.26
Common Stock	01/09/2017		M		1,500	A	\$ 5.26
Common Stock	01/09/2017		M		1,600	A	\$ 5.5
Common Stock	01/09/2017		M		1,600	A	\$ 5.5
Common Stock	01/09/2017		S ⁽¹⁾		6,200	D	\$ 8.65 ⁽²⁾

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Common Stock	01/10/2017	M	13,431	A	\$ 5.26	528,050	D
Common Stock	01/10/2017	M	28,569	A	\$ 5.26	556,619	D
Common Stock	01/10/2017	M	2,809	A	\$ 5.5	559,428	D
Common Stock	01/10/2017	M	32,900	A	\$ 5.5	592,328	D
Common Stock	01/10/2017	S ⁽¹⁾	77,709	D	\$ 8.64 <u>(3)</u>	514,619	D
Common Stock						12,498	I
Common Stock						930,904	I

See
footnote.
(4)

See
footnote.
(5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Amount or Number of Shares	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 5.26	01/09/2017		M		1,500		<u>(6)</u>	09/27/2021	Common Stock	1,500
Stock Option (right to buy)	\$ 5.26	01/09/2017		M		1,500		<u>(6)</u>	09/27/2021	Common Stock	1,500

Stock Option (right to buy)	\$ 5.5	01/09/2017	M	1,600	<u>(6)</u>	03/27/2022	Common Stock	1,600
Stock Option (right to buy)	\$ 5.5	01/09/2017	M	1,600	<u>(6)</u>	03/27/2022	Common Stock	1,600
Stock Option (right to buy)	\$ 5.26	01/10/2017	M	13,431	<u>(6)</u>	09/27/2021	Common Stock	13,431
Stock Option (right to buy)	\$ 5.26	01/10/2017	M	28,569	<u>(6)</u>	09/27/2021	Common Stock	28,569
Stock Option (right to buy)	\$ 5.5	01/10/2017	M	32,900	<u>(6)</u>	03/27/2022	Common Stock	32,900
Stock Option (right to buy)	\$ 5.5	01/10/2017	M	2,809	<u>(6)</u>	03/27/2022	Common Stock	2,809

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lang Philipp C/O CONFORMIS, INC. 28 CROSBY DRIVE BEDFORD, MA 01730	X			

Signatures

/s/ David Cervený,
Attorney-in-Fact

01/11/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale was made pursuant to a Rule 10b5-1 plan adopted by the Reporting Person.

(2) The shares sold on this date were sold in multiple transactions. This price is the weighted average sales price per share of all shares sold on this date; the actual sale prices per share range from \$8.60-\$8.70, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the

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number of shares sold at each separate price within the range.

- (3) The shares sold on this date were sold in multiple transactions. This price is the weighted average sales price per share of all shares sold on this date; the actual sale prices per share range from \$8.60-\$8.68, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

- (4) The shares are held directly by the Reporting Person's children.

- The shares are held directly by the NP Irrevocable Trust udt dated 12/28/12. The Reporting Person's immediate family members are
- (5) beneficiaries of the NP Irrevocable Trust udt dated 12/28/12, and the Reporting Person disclaims beneficial ownership of all shares except to the extent of his pecuniary interest therein.

- (6) This option is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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