

ROCKWELL AUTOMATION INC
Form 8-K/A
March 05, 2009

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K/A
(Amendment No. 1)

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported):

February 24, 2009

Rockwell Automation, Inc.

(Exact name of registrant as specified in its charter)

Delaware

1-12383

25-1797617

(State or other jurisdiction
of incorporation)

(Commission
File Number)

(I.R.S. Employer
Identification No.)

1201 South Second Street, Milwaukee,
Wisconsin

53204

(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code:

414-382-2000

Not Applicable

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 8.01 Other Events.

Explanatory Note

On March 4, 2009, Registrant filed a Current Report on Form 8-K reporting certain events under Item 8.01 and stated incorrectly the exercise price of the stock options subject to the Rule 10b5-1 trading plan. This Amendment No. 1 on Form 8-K/A amends and restates Item 8.01 of the prior disclosure to correct the exercise price of the stock options subject to the Rule 10b5-1 trading plan.

On February 24, 2009, Keith D. Nosbusch, Chairman and Chief Executive Officer of Rockwell Automation, Inc., entered into a Rule 10b5-1 trading plan with respect to 301,097 shares issuable upon exercise of stock options awarded to Mr. Nosbusch on October 2, 2000. The options have an exercise price of \$11.6038 per share and expire on October 2, 2010. The trading plan becomes effective on May 1, 2009. Under the plan, the options will be exercised and the underlying shares will be sold on the open market if minimum price thresholds are met during specified trading periods after public announcement of quarter end earnings. Mr. Nosbusch entered into the plan as part of his tax and financial planning strategy to diversify and liquidate long-term assets before the options expire.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Rockwell Automation, Inc.

March 4, 2009

By: */s/Douglas M. Hagerman*

Name: Douglas M. Hagerman

Title: Senior Vice President, General Counsel and Secretary