

ROCKWELL AUTOMATION INC

Form 4

January 31, 2005

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SWANN JOSEPH D

2. Issuer Name **and** Ticker or Trading  
Symbol

ROCKWELL AUTOMATION INC  
[ROK]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

01/28/2005

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Sr. Vice President

777 EAST WISCONSIN  
AVENUE, SUITE 1400

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

MILWAUKEE, WI 53202

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/28/2005		M	38,701	A \$ 20.349	66,793	D
Common Stock	01/28/2005		S	38,701	D <u>11</u>	28,092	D
Common Stock	01/28/2005		M	7,400	A \$ 13.4	35,492	D
Common Stock	01/28/2005		M	4,266	A \$ 15.5	39,758	D
Common Stock	01/28/2005		M	1,200	A \$ 27.75	40,958	D

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Common Stock 2,577.7794 I <sup>(2)</sup> By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
Employee Stock Option (right to buy)	\$ 20.349	01/28/2005		M	38,701	10/04/2000 10/04/2009	Common Stock 38
Employee Stock Option (right to buy)	\$ 11.6038					10/02/2001 10/02/2010	Common Stock 94
Employee Stock Option (right to buy)	\$ 13.4	01/28/2005		M	7,400	10/01/2002 10/01/2011	Common Stock 7
Employee Stock Option (right to buy)	\$ 15.5	01/28/2005		M	4,266	10/07/2003 <sup>(3)</sup> 10/07/2012	Common Stock 4
Employee Stock Option (right to buy)	\$ 27.75	01/28/2005		M	1,200	10/06/2004 <sup>(4)</sup> 10/06/2013	Common Stock 1

Stock

(right to

Common

## Equivalents

11/08/2014

80

(7)

5.2

## Reporting Owners

## Relationships

**Reporting Owner Name / Address**

Director    10% Owner    Officer    Other

SWANN JOSEPH D  
777 EAST WISCONSIN AVENUE  
SUITE 1400  
MILWAUKEE, WI 53202

Sr. Vice President

## Signatures

K. A. Balistreri, Attorney-in-Fact for Joseph D. Swann

01/31/2005

\*\*Signature of Reporting Person

Date \_\_\_\_\_

### Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Sale prices ranged from \$56.18 to \$56.39.
- (2) Shares represented by Company stock fund units under the Company's Savings Plan which were acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of 12/31/2004.
- (3) 55,733 shares are currently exercisable and 30,001 shares vest 10/07/2005.
- (4) 28,800 shares are currently exercisable, 30,000 shares vest on 10/06/05 and 30,000 shares vest on 10/06/06.
- (5) The Option vests in three substantially equal annual installments beginning on the date exercisable.

(6) Share equivalents represented by Company stock fund units credited under the Company's nonqualified savings plan based on information furnished by the Plan Administrator as of 12/31/2004. The number of share equivalents represented by the balance of a participant's Company stock fund account may not exactly equal the number of share equivalents represented by a prior balance plus additions due to variances in the proportion of uninvested cash held in the reference fund used to determine unit values of the Company stock fund under the plan.

- (7) The share equivalents are payable in cash upon retirement or after termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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