Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

PEAPACK GLADSTONE FINANCIAL CORP

Form 4

January 04, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Caspersen Finn MW Jr Issuer Symbol PEAPACK GLADSTONE FINANCIAL CORP [PGC]

(Check all applicable)

(Middle) (Last) (First)

3. Date of Earliest Transaction (Month/Day/Year) 01/03/2017

_X__ Director 10% Owner X_ Officer (give title Other (specify below)

SEVP & Chief Counsel

500 HILLS DRIVE, SUITE 300, PO **BOX 700**

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

BEDMINSTER, NJ 07921

(City)	(State)	(Zip) Tabl	e I - Non-Derivative Securities Acquired, Disposed of, or Benefi	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired 5. Amount of 6. Transaction(A) or Disposed of (D) Securities Ownership Code (Instr. 3, 4 and 5) Beneficially Form: Dire (D) or Following Indirect (I) Reported Transaction(s) (Instr. 4) Transaction(s) (Instr. 3 and 4)	
Common Stock	01/03/2017		F 384 D \$ 88,961 D	
Common Stock			1,332.6402 I	Profit Sharing Plan
Common Stock			3,135.1173 I	Employee Stock Purchase Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title ar	nd	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tionNumber	Expiration D	ate	Amount o	of	Derivative
Security	or Exercise		any	Code	of	(Month/Day	(Year)	Underlyin	ng	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivativ	e		Securities	5	(Instr. 5)
	Derivative				Securities	S		(Instr. 3 a	ınd 4)	
	Security				Acquired					
	·				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
								An	nount	
						Date	Expiration	or		
						Exercisable Date	•	Title Nu	ımber	
							Dute	of		
				Code	V (A) (D)			Sha	ares	

Reporting Owners

Reporting Owner Name / Address Relationships

X

Director 10% Owner Officer Other

Caspersen Finn MW Jr 500 HILLS DRIVE, SUITE 300 PO BOX 700

PO BOX 700 BEDMINSTER, NJ 07921 SEVP & Chief Counsel

Signatures

Finn M.W.
Caspersen Jr
01/04/2017

**Signature of Reporting Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2