

AMTECH SYSTEMS INC

Form 4

February 21, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WHANG JONG S**

(Last) (First) (Middle)

2. Issuer Name **and** Ticker or Trading  
Symbol

**AMTECH SYSTEMS INC [ASYS]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

3. Date of Earliest Transaction  
(Month/Day/Year)

02/19/2014

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Executive Chairman

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Amtech Systems, Inc. \$.01 par value common stock	02/19/2014		M	22,400 A	\$ 3.8	129,679	D
Amtech Systems, Inc. \$.01 par value common stock	02/19/2014		S	22,400 D	\$ 10.0038	107,279	D

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Amtech Systems, Inc. \$.01 par value common stock	02/20/2014	M	24,914	A	\$ 3.8	132,193	D
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Amtech Systems, Inc. \$.01 par value common stock	02/20/2014	S	24,914	D	\$ 9.9766	107,279	D
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Amtech Systems, Inc. \$.01 par value common stock	02/21/2014	M	12,686	A	\$ 3.8	119,965	D
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Amtech Systems, Inc. \$.01 par value common stock	02/21/2014	S	12,686	D	\$ 9.7198	107,279	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Amtech Systems, Inc. Employee	\$ 3.8	02/19/2014		M	22,400	12/09/2012 12/09/2018	Amtech Systems, Inc. \$.01 par value 22,400

Stock Option								common stock	
Amtech Systems, Inc. Employee Stock Option	\$ 3.8	02/20/2014		M	24,914	12/09/2012	12/09/2018	Amtech Systems, Inc. \$.01 par value common stock	24,914
Amtech Systems, Inc. Employee Stock Option	\$ 3.8	02/21/2014		M	12,686	12/09/2012	12/09/2018	Amtech Systems, Inc. \$.01 par value common stock	12,686

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WHANG JONG S				
	X		Executive Chairman	

## Signatures

Robert T. Hass on behalf of JS Whang by Power of Attorney 02/21/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Price omitted because the transaction is a grant of a stock option.

(2) This is the number of derivative securities, with the same terms and conditions, following the reported transaction.

(3) This is the number of derivative securities, with the same terms and conditions, following the reported transaction. Total of all derivative securities, with different terms and conditions, held following the reported transaction is 196,667, net of options cancelled.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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