RUDOLPH TECHNOLOGIES INC

Form 4

February 22, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

02/20/2007

02/20/2007

Stock

1. Name and Address of Reporting Person * BROOKS D MAYSON			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
	RUDOLPH TECHNOLOGIES INC [RTEC]				INC	(Check all applicable)					
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction (Month/Day/Year)					Director Officer (give	titleX Oth	Owner er (specify	
C/O RUDO	LPH TECHNOL	OGIES.	02/20/2007					below) below)			
	RUDOLPH ROA		02/20/2	02/20/2007				VP, Global Sales			
BOX 1000		•									
	(Street)		4 If Δme	endment D	ate Original	ı		6 Individual or Id	oint/Group Filir	og(Check	
	(Succe)			. If Amendment, Date Original ïled(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)			
			11100(1110		-,			_X_ Form filed by One Reporting Person			
FLANDER	S, NJ 07836							Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative :	Securi	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security	2. Transaction Date (Month/Day/Year)		med on Date, if	3. Transacti	4. Securit on(A) or Dis			5. Amount of Securities	6. Ownership	7. Nature of Indirect	
(Instr. 3) any			Code (Instr. 3, 4 and 5)					Beneficially	Form: Direct		
		(Month/I	Day/Year)	ay/Year) (Instr. 8)				Owned	Ownership		
								Following Reported	Indirect (I) (Instr. 4)	(Instr. 4)	
						(A)		Transaction(s)	(mon. 1)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common	02/20/2007					` /	\$	4.007 (1)	Ъ		
Stock	02/20/2007			M	1,854	A	13.59	4,887 <u>(1)</u>	D		
Common											
Stock	02/20/2007			M	1,333	A	\$ 10	$6,220 \frac{(1)}{}$	D		
							A				
Common Stock	02/20/2007			M	7,625	A	\$ 13.62	13,845 <u>(1)</u>	D		
Common	02/20/2007			M	2 702	Δ	\$	16 547 (1)	D		

M

M

2,702

2,706

A

16,547 (1)

19,253 (1)

14.81

D

D

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Common Stock					\$ 14.81
Common Stock	02/20/2007	M	1,715	A	\$ 13.7 20,968 (1) D
Common Stock	02/20/2007	M	2,287	A	\$ 23,255 (1) D
Common Stock	02/20/2007	M	2,287	A	\$ 25,542 (1) D
Common Stock	02/20/2007	M	3,431	A	\$ 13.19 28,973 (1) D
Common Stock	02/20/2007	M	5,337	A	\$ 6.17 34,310 (1) D
Common Stock	02/20/2007	M	2,287	A	\$ 6.23 36,597 (1) D
Common Stock	02/20/2007	M	9,150	A	\$ 5.64 45,747 (1) D
Common Stock	02/20/2007	S	5,000	D	\$ 16.3 40,747 (1) D
Common Stock	02/20/2007	S	10,714	D	\$ 30,033 (1) D
Common Stock	02/20/2007	S	5,000	D	\$ 25,033 (1) D
Common Stock	02/20/2007	S	3,000	D	\$ 16.4 22,033 (1) D
Common Stock	02/20/2007	S	5,000	D	\$ 17,033 (1) D
Common Stock	02/20/2007	S	9,000	D	\$ 8,033 (1) D
Common Stock	02/20/2007	S	5,000	D	\$ 16.61 3,033 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired		

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	Derivative Security			(A) or Disposed of (D) (Instr. 3, 4, and 5)				
			Code	V (A) (D)) Date Exercisable	Expiration e Date	Title	Amour or Number of Shares
Incentive Stock Option (right to buy)	\$ 10	02/20/2007	М	1,33	33 (2)	10/22/2014	Common Stock	1,33
Incentive Stock Option (right to buy)	\$ 13.7	02/20/2007	М	1,71	15 <u>(4)</u>	05/01/2007	Common Stock	1,71
Incentive Stock Option (right to buy)	\$ 14.81	02/20/2007	M	2,70)2 (5)	01/25/2016	Common Stock	2,70
Non-Qualified Stock Option (right to buy)	\$ 5.64	02/20/2007	М	9,15	50 (6)	12/19/2009	Common Stock	9,15
Non-Qualified Stock Option (right to buy)	\$ 6.17	02/20/2007	M	5,33	37 <u>(7)</u>	07/18/2009	Common Stock	5,33
Non-Qualified Stock Option (right to buy)	\$ 6.23	02/20/2007	M	2,28	87 (8)	10/25/2009	Common Stock	2,28
Non-Qualified Stock Option (right to buy)	\$ 13.19	02/20/2007	М	3,43	31 (9)	12/07/2008	Common Stock	3,43
Non-Qualified Stock Option (right to buy)	\$ 13.59	02/20/2007	M	1,85	54 (10)	07/30/2014	Common Stock	1,85
Non-Qualified Stock Option (right to buy)	\$ 13.62	02/20/2007	М	7,62	25 (11)	12/30/2014	Common Stock	7,62
Non-Qualified Stock Option (right to buy)	\$ 13.78	02/20/2007	М	2,28	87 (12)	04/06/2008	Common Stock	2,28
Non-Qualified Stock Option (right to buy)	\$ 13.86	02/20/2007	М	2,28	37 (13)	12/15/2007	Common Stock	2,28
Non-Qualified Stock Option (right to buy)	\$ 14.81	02/20/2007	M	2,70	06 (5)	01/25/2016	Common Stock	2,70

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

BROOKS D MAYSON C/O RUDOLPH TECHNOLOGIES, INC. ONE RUDOLPH ROAD, PO BOX 1000 FLANDERS, NJ 07836

VP, Global Sales

Signatures

/s/ D. Mayson Brooks

02/22/2007

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The amount of shares indicated in direct beneficial ownership include shares acquired through the Employee Stock Purchase Plan.
- (2) The option to purchase 1,749 shares of August common stock for \$7.62 per share, initially granted by August on October 22, 2004, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (3) Average price of derivative security was \$16.43. Exact price is not determinable as shares were sold in lots with other grants of derivative securities.
- (4) The option to purchase 2,250 shares of August common stock for \$10.44 per share, initially granted by August on May 1, 2000, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- The option to purchase 17,727 shares of August common stock for \$11.29 per share, initially granted by August on January 25, 2006, which provided for vesting in annual increments of 20% of the shares beginning January 25, 2006, was assumed by Rudolph in the Merger and replaced with the referenced options with the same vesting terms.
- The option to purchase 12,000 shares of August common stock for \$4.30 per share, initially granted by August on December 19, 2002, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (7) The option to purchase 7,000 shares of August common stock for \$4.70 per share, initially granted by August on July 18, 2002, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (8) The option to purchase 3,000 shares of August common stock for \$4.75 per share, initially granted by August on October 25, 2002, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (9) The option to purchase 4,500 shares of August common stock for \$10.05 per share, initially granted by August on December 7, 2001, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (10) The option to purchase 2,432 shares of August common stock for \$10.36 per share, initially granted by August on July 30, 2004, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- The option to purchase 10,000 shares of August common stock for \$10.38 per share, initially granted by August on December 30, 2004, which provided for vesting of 34% of the shares on December 30, 2004 and 33% on December 30, 2005 and 2006, was assumed by Rudolph in the Merger and replaced with the referenced option with the same vesting terms.
- (12) The option to purchase 3,000 shares of August common stock for \$10.50 per share, initially granted by August on April 6, 2001, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (13) The option to purchase 3,000 shares of August common stock for \$10.563 per share, initially granted by August on December 15, 2000, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4