### Edgar Filing: RUDOLPH TECHNOLOGIES INC - Form 4

#### RUDOLPH TECHNOLOGIES INC

Form 4

February 17, 2006

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* WRIGHT MICHAEL WILLIAM

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

RUDOLPH TECHNOLOGIES INC

[RTEC]

(Check all applicable)

(Last)

3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

(Month/Day/Year) C/O RUDOLPH TECHNOLOGIES. 01/08/2002 INC., ONE RUDOLPH ROAD, PO

(Middle)

BOX 1000

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FLANDERS, NJ 07836

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Disposed of (D) Code (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) (Instr. 3 and 4) Code V Amount Price (D)

Common Stock

02/15/2006

 $0^{(1)}$ Α

\$0 0 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 12.16	02/15/2006		A	5,337	(2)	03/10/2007	Common Stock	5,33
Non-Qualified Stock Option (right to buy)	\$ 13.12	01/08/2002		A	5,718	(3)	01/08/2009	Common Stock	5,71
Non-Qualified Stock Option (right to buy)	\$ 14.81	01/25/2006		A	4,956	<u>(4)</u>	01/25/2016	Common Stock	4,95
Non-Qualified Stock Option (right to buy)	\$ 17.55	02/15/2006		A	5,718	(5)	01/05/2008	Common Stock	5,71
Non-Qualified Stock Option (right to buy)	\$ 18.68	04/05/2002		A	160	<u>(6)</u>	04/05/2009	Common Stock	160
Non-Qualified Stock Option (right to buy)	\$ 29.71	01/08/2004		A	4,519	<u>(7)</u>	01/08/2011	Common Stock	4,51

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
WRIGHT MICHAEL WILLIAM C/O RUDOLPH TECHNOLOGIES, INC. ONE RUDOLPH ROAD, PO BOX 1000 FLANDERS, NJ 07836	X					
Signatures						
By: /s/ Robert A. Koch For: Michael W. Wright	02/17/2006					
**Signature of Reporting Person		Date				

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Mr. Wright was the holder of 22.888 shares of August Technology Corporation common stock. In the merger (the "Merger") of August Technology Corporation with and into NS Merger Sub, Inc. ("Merger Sub"), a wholly owned subsidiary of Rudolph Technologies, Inc. ("Rudolph"), each share of August Technology Corporation common stock was canceled and will be converted into the right to receive
- either \$10.50 in cash or 0.7625 of a share of common stock, par value \$0.001 per share, of Rudolph common stock, or a combination of cash and Rudolph common stock, subject to the proration and allocation procedures set forth in the Agreement and Plan of Merger, as amended, by and among Rudolph, Merger Sub and August Technology Corporation. At this time, the actual number of shares held by Mr. Wright is not known. Upon completion of the proration and allocation computations, an amended Form 4 shall be filed to reflect the shares of Rudolph common stock held by Mr. Wright.
- Pursuant to a merger agreement by and among the August Technology Corporation ("August"), Rudolph Technologies, Inc. ("Rudolph") and NS Merger Sub, Inc., a wholly-owned subsidiary of Rudolph (the "Merger"), the option to purchase 7,000 shares of August common stock for \$9.27 per share, initially granted by August on March 10, 2000, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (3) The option to purchase 7,500 shares of August common stock for \$10.00 per share, initially granted by August on January 8, 2002, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (4) The option to purchase 6,500 shares of August common stock for \$11.29 per share, initially granted by August on January 25, 2006, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (5) The option to purchase 7,500 shares of August common stock for \$13.375 per share, initially granted by August on January 5, 2001, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- The option to purchase 211 shares of August common stock for \$14.24 per share, initially granted by August on April 5, 2002, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.
- (7) The option to purchase 5,927 shares of August common stock for \$22.65 per share, initially granted by August on January 8, 2004, which was fully vested, was assumed by Rudolph in the Merger and replaced with the referenced fully vested option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.