Edgar Filing: CALAVO GROWERS INC - Form 4

CALAVO G	ROWERS INC	2									
Form 4											
December 03	3, 2013										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
	• • UNITE	D STATES					NGE C	COMMISSION	OMB	3235-0287	
Check this box				shington, D.C. 20549					Number:	January 31,	
									Expires:	2005	
subject to			r Chaiv	GES IN BENEFICIAL OWNERSHIP OF SECURITIES					Estimated average burden hours per response 0.5		
Section 1 Form 4 or		SECUR									
Form 5		oursuant to	Section 1	6(a) of the	e Securit	ies E	xchang	e Act of 1934,	response	0.0	
obligation	¹⁸ Section 1						•	1935 or Section	n		
may cont See Instru	inue.			vestment	•						
1(b).											
(Print or Type F	Responses)										
1 Name and A	ddress of Reporti	ng Person *	2.1	NT	T . 1	т I'		5 Relationship of	Reporting Pers	on(s) to	
Carbone Egi				r Name and Ticker or Trading			ng	5. Relationship of Reporting Person(s) to Issuer			
C Symbol			VO GROWERS INC								
							(Check all applicable)				
(Last)	(First)	(Middle)	-	Earliest Tr	ansaction			X Director	10%	Owner	
			Day/Year)				Officer (give title Other (specify				
1141A CUM	IMINGS ROA	D	11/20/2	-				below) below)			
	(Street)		4. If Ame	ndment, Date Original				6. Individual or Joint/Group Filing(Check			
			nth/Day/Year)				Applicable Line)				
								X Form filed by C			
SANTA PA	ULA, CA 930	60						Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Tabl	o I - Non-D	orivotivo	Socur	ities Aca	uired Disposed of	or Bonoficial	ly Owned	
1 Title of	2. Transaction D	Nota 24 Daa						Juired, Disposed of, or Beneficially Owned5. Amount of6. Ownership7. Nature of			
1.Title of Security	(Month/Day/Ye				3. 4. Securities Acquired Transaction(A) or Disposed of (D)			5. Amount of Securities	Form: Direct		
(Instr. 3)	× J	any		Code (Instr. 3, 4 and 5)				Beneficially	(D) or	Beneficial	
		(Month/I	Day/Year) (Instr. 8)			Owned	Indirect (I) Ov ng (Instr. 4) (In				
								Following Reported	(111SUT. 4)	(Instr. 4)	
						(A) or		Transaction(s)			
				Code V	Amount		Price	(Instr. 3 and 4)			
Common	11/20/2013			М	1,000		\$	14,054	D		
Stock	11/20/2013			111	1,000	А	14.58	14,034	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	ive Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Deriva Securit (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 14.58	11/20/2013		М	1,000	<u>(1)</u>	<u>(1)</u>	Common Stock	1,000	\$ (

Reporting Owners

Reporting Owner Name / Addro	ess	Relationships							
	Director	10% Owner	Officer	Other					
Carbone Egidio 1141A CUMMINGS ROAE SANTA PAULA, CA 93060									
Signatures									
/s/ Egidio Carbone	12/02/2013								
**Signature of	Date								

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) (1) The Stock Option vests in equal increments on each anniversary date of the grant (i.e., May 21, 2008) over a five-year period, and each increment is exercisable for five years from its vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person