## Edgar Filing: ROCKWELL AUTOMATION INC - Form 4

ROCKWEI Form 4	LL AUTOMATIO	N INC						
February 20								
FORM 4 UNITED STATES			S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549			PROVAL 3235-0287		
Check t if no lo subject Section Form 4 Form 5 obligati may co <i>See</i> Inst 1(b).	nger to 16. or Filed pur ons ntinue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940						
(Print or Type	e Responses)							
1. Name and Address of Reporting Person <u>*</u> Schmitt Susan			Issuer Name <b>and</b> Ticker or Trading nbol OCKWELL AUTOMATION INC OK]	Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 1201 SOUTH SECOND STREET (Street)			Date of Earliest Transaction onth/Day/Year) /26/2016	below)	Officer (give title Other (specify			
			f Amendment, Date Original ed(Month/Day/Year)	Applicable Line)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MILWAU	KEE, WI 53204			Form filed by Mo Person	ore than One Re	porting		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities A	Acquired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Dat any	3. 4. Securities Acquired e, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) Year) (Instr. 8) (A) or Code V Amount (D) Pri	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock				2,219.7743	I	By Savings Plan <u>(1)</u>		
Common Stock	02/26/2016		S 1,500 D <sup>\$</sup> 104.0	615 <sup>9,018</sup>	D (2)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Tit Amou Under Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
FB	Director	10% Owner	Officer	Other				
Schmitt Susan 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204			Sr. VP, HR					
Signatures								
Karen A. Balistreri, Attorney-in-Fact for Susan								
Schmitt			02/26/2016					
<u>**</u> Signature of Reporting Perso	on		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares represented by Company stock fund units acquired under the Company Savings Plan based on information furnished by the Plan Administrator as of 12/31/2015.
- (2) Includes 2,280 shares held by the Company to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.