Edgar Filing: KERSTEN GEERT R - Form 4

KERSTEN	GEERT R										
Form 4											
May 03, 20									0.45.4		
FORM	14 UNITED	STATES (SECUI	DITIES A	ND FY	СЦАІ	NCE	COMMISSION	т	PPROVAL	
	UNITED	STATES		shington.			INGE		OMB Number:	3235-028	37
Check t			,, u	Simglon	, 0.0.20					January 3	1,
if no lor subject	if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF									200)5
Section	Estimated average									•	
Form 4									response		.5
Form 5 obligation	-						-	ge Act of 1934,			
may cor	ntinue. Section 170			•	•	· ·	•	f 1935 or Section	on		
<i>See</i> Inst 1(b).	ruction	50(II) 0	n the fi	ivestment	Compa	IY ACI	1 01 19	40			
1(0).											
(Print or Type	Responses)										
	Address of Reporting	Person [*]	2. Issue	2. Issuer Name and Ticker or Trading			5. Relationship of Issuer	of Reporting Per	rson(s) to		
KERSTEN	GEERIK		Symbol				Issuer				
			CEL SCI CORP [CVM]					(Check all applicable)			
(Last)	(First) (3. Date of Earliest Transaction								
			(Month/Day/Year) 05/01/2018				X Director X Officer (giv		6 Owner er (specify		
		,	03/01/2	.010				below)	below)		
						_			Executive Offi		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check			
		1	rneu(Mo	ntn/Day/Yea	r)			Applicable Line) _X_ Form filed by	One Reporting P	erson	
								Form filed by Person	More than One R	eporting	
(City)	(Stata)	(Zin)									
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Securi	ities Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of	2. Transaction Date			3. 4. Securitie TransactionAcquired (A					6. Ownership	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Execution E any	Jale, 11	Code	Disposed				Form: Direct (D) or Indirect	Indirect Beneficial	
× /		(Month/Day	/Year)				5) (Owned	(I)	Ownership	
								Following Reported	(Instr. 4)	(Instr. 4)	
						(A)		Fransaction(s)			
				Code V	Amount	or (D)	Price ((Instr. 3 and 4)			
Reminder: Re	port on a separate line	e for each clas	ss of secu	urities benet	-		-	-			
					Perso	ns wh	ho resp	ond to the colle	ction of S	SEC 1474	

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date 3A	A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year) Ex	execution Date, if	Transactic	Derivative	Expiration Date	Underlying Securities
Security	or Exercise	an	ny	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) Disposed of (I (Instr. 3, 4, an 5)	D)			
				Code V	7 (A) (I	D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options	\$ 2.45	05/01/2018		А	160,121 (1)	(2)	04/30/2028	Common Stock	160,121
Options	\$ 2.45	05/01/2018		А	370,000	(2)	04/30/2028	Common Stock	370,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
KERSTEN GEERT R							
	Х		Chief Executive Officer				

Signatures

Geert Kersten	05/03/2018		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were awarded in recognition of the salary that has been deferred over the last 18 months and not paid in cash. The options awarded are equal to 0.25 option for every dollar deferred.
- (2) The stock options vest in three (3) equal annual installments commencing one year after the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.