

Ketchum Steven B
Form 4
October 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Ketchum Steven B

2. Issuer Name and Ticker or Trading Symbol
AMARIN CORP PLC\UK [AMRN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
09/28/2018

___ Director ___ 10% Owner
__X__ Officer (give title below) ___ Other (specify below)

C/O AMARIN PHARMA, INC., 1430 ROUTE 206

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

Chief Scientific Officer

BEDMINSTER, NJ 07921

6. Individual or Joint/Group Filing(Check Applicable Line)
__X__ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Ordinary Shares ⁽¹⁾ ₍₂₎ | 09/28/2018 | | M | | 200,000 | A | \$ 8.77 |
| Ordinary Shares ⁽¹⁾ ₍₂₎ | 09/28/2018 | | S | | 100,000 | D | \$ 15 |
| Ordinary Shares ⁽¹⁾ ₍₂₎ | 09/28/2018 | | S | | 100,000 | D | \$ 16 |
| Ordinary Shares ⁽¹⁾ ₍₂₎ | 10/01/2018 | | M | | 100,000 | A | \$ 8.77 |

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(2)

Ordinary Shares (1) 10/01/2018 M 2,771 A \$ 2.95 444,068 D (3)

Ordinary Shares (1) 10/01/2018 M 3,750 A \$ 2.5 447,818 D (3)

Ordinary Shares (1) 10/01/2018 M 3,312 A \$ 2.5 451,130 D (3)

Ordinary Shares (1) 10/01/2018 M 4,167 A \$ 2.5 455,297 D (3)

Ordinary Shares (1) 10/01/2018 M 2,604 A \$ 1.4 457,901 D (3)

Ordinary Shares (1) 10/01/2018 S 116,604 D \$ 17.2 341,297 D (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 8.77 | 09/28/2018 | | M | 200,000 | <u>(4)</u> | 03/01/2022 | Ordinary Shares <u>(2)</u> | 200,000 |
| Stock Option (Right to Buy) | \$ 8.77 | 10/01/2018 | | M | 100,000 | <u>(4)</u> | 03/01/2022 | Ordinary Shares <u>(2)</u> | 100,000 |

| | | | | | | | | |
|-----------------------------|---------|------------|---|-------|------------|------------|----------------------------|-------|
| Stock Option (Right to Buy) | \$ 2.95 | 10/01/2018 | M | 2,771 | <u>(5)</u> | 01/31/2027 | Ordinary Shares <u>(2)</u> | 2,771 |
| Stock Option (Right to Buy) | \$ 2.5 | 10/01/2018 | M | 3,750 | <u>(6)</u> | 07/06/2025 | Ordinary Shares <u>(2)</u> | 3,750 |
| Stock Option (Right to Buy) | \$ 2.5 | 10/01/2018 | M | 3,312 | <u>(6)</u> | 07/06/2025 | Ordinary Shares <u>(2)</u> | 3,312 |
| Stock Option (Right to Buy) | \$ 2.5 | 10/01/2018 | M | 4,167 | <u>(7)</u> | 07/06/2025 | Ordinary Shares <u>(2)</u> | 4,167 |
| Stock Option (Right to Buy) | \$ 1.4 | 10/01/2018 | M | 2,604 | <u>(8)</u> | 01/31/2026 | Ordinary Shares <u>(2)</u> | 2,604 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Ketchum Steven B C/O AMARIN PHARMA, INC. 1430 ROUTE 206 BEDMINSTER, NJ 07921 | | | Chief Scientific Officer | |

Signatures

/s/ Joseph T. Kennedy, by power of attorney

10/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option exercises and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (2) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
- (3) Please see the section titled "Remarks" below for additional information.
- (4) The option granted to the Reporting Person is fully vested as of the date hereof.
- (5) On February 1, 2017, the Reporting Person was granted an option to purchase 133,000 Ordinary Shares under the Amarin Corporation plc 2011 Stock Incentive Plan (the "Plan"). The shares subject to this option shall vest and become exercisable in 48 equal monthly

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installments on the last day of each month that began on February 28, 2017.

- (6) On July 6, 2015, the Reporting Person was granted an option to purchase 180,000 Ordinary Shares under the Plan. The vesting of the shares is subject to certain performance milestone that was previously achieved. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on July 31, 2015.
- (7) On July 6, 2015, the Reporting Person was granted an option to purchase 200,000 Ordinary Shares under the Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on July 31, 2015.
- (8) On February 1, 2016, the Reporting Person was granted an option to purchase 125,000 Ordinary Shares under the Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on February 29, 2016.

Remarks:

In the case of a Change of Control (as defined in the Plan), the grants described in this Form 4 vest in full. As of the date of this

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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