Gombotz Wayne Form 4 July 19, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005 Estimated average

10% Owner

burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Gombotz Wayne

2. Issuer Name and Ticker or Trading Symbol

IMMUNE DESIGN CORP. [IMDZ]

5. Relationship of Reporting Person(s) to

Issuer

3. Date of Earliest Transaction

(Check all applicable)

C/O IMMUNE DESIGN CORP., 1616 EASTLAKE AVE. E., **SUITE 310**

(First)

(Month/Day/Year) 07/17/2018

Other (specify X_ Officer (give title below) below)

Chief Development Officer

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

X Form filed by One Reporting Person Form filed by More than One Reporting

SEATTLE, WA 98102

(Zip)

(Middle)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date**

7. Title and Amount Underlying Securitie

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Stock Option (Rt to Buy)	\$ 31	07/17/2018		D		6,575	<u>(1)</u>	01/07/2025	Common Stock	6,57
Stock Option (Rt to Buy)	\$ 4.4	07/17/2018		A	3,288		(3)	07/17/2025	Common Stock	3,28
Stock Option (Rt to Buy)	\$ 31	07/17/2018		D		8,425	<u>(1)</u>	01/07/2025	Common Stock	8,42
Stock Option (Rt to Buy)	\$ 4.4	07/17/2018		A	4,213		(3)	07/17/2025	Common Stock	4,21
Stock Option (Rt to Buy)	\$ 13	07/17/2018		D		40,000	<u>(5)</u>	10/30/2025	Common Stock	40,00
Stock Option (Rt to Buy)	\$ 4.4	07/17/2018		A	26,667		(3)	07/17/2025	Common Stock	26,66
Stock Option (Rt to Buy)	\$ 19.39	07/17/2018		D		5,906	<u>(7)</u>	01/04/2026	Common Stock	5,90
Stock Option (rt to Buy)	\$ 4.4	07/17/2018		A	3,375		(3)	07/17/2025	Common Stock	3,37
Stock Option (Rt to Buy)	\$ 19.39	07/17/2018		D		54,094	<u>(7)</u>	01/04/2026	Common Stock	54,09
Stock Option (Rt to	\$ 4.4	07/17/2018		A	30,911		(3)	07/17/2025	Common Stock	30,91

Buy)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gombotz Wayne C/O IMMUNE DESIGN CORP. 1616 EASTLAKE AVE. E., SUITE 310 SEATTLE, WA 98102

Chief Development Officer

Signatures

/s/ Stephen R. Brady, Attorney-In-Fact

07/19/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option provided for 1/4th of the shares underlying the option on January 1, 2016 and the remainder of the shares at a rate of 1/36th per month thereafter.
- On July 17, 2018, the issuer canceled, pursuant to the issuer's option exchange program, an option for 6,575 shares of the issuer's common stock granted to the reporting person on January 7, 2015. In exchange, the reporting person received a replacement option, for 3,288 shares, having an exercise price of \$4.40 per share.
- (3) The option vests in twenty four (24) equal consecutive monthly installments beginning on August 17, 2018.
- On July 17, 2018, the issuer canceled, pursuant to the issuer's option exchange program, an option for 8,425 shares of the issuer's
- (4) common stock granted to the reporting person on January 7, 2015. In exchange, the reporting person received a replacement option, for 4,213 shares, having an exercise price of \$4.40 per share.
- (5) The option provided for vesting of 37.5% of the option on each of October 30, 2016 and 2017 with the remainder of the shares underlying the option on October 30, 2018.
- On July 17, 2018, the issuer canceled, pursuant to the issuer's option exchange program, an option for 40,000 shares of the issuer's common stock granted to the reporting person on October 30, 2015. In exchange, the reporting person received a replacement option, for 26,667 shares, having an exercise price of \$4.40 per share.
- (7) The option provided for vesting of 1/4th of the shares underlying the option on January 4, 2017 and the remainder of the shares underlying the option at a rate of 1/36th per month thereafter.
- On July 17, 2018, the issuer canceled, pursuant to the issuer's option exchange program, an option for 5,906 shares of the issuer's common stock granted to the reporting person on January 4, 2016. In exchange, the reporting person received a replacement option, for 3,375 shares, having an exercise price of \$4.40 per share.
- On July 17, 2018, the issuer canceled, pursuant to the issuer's option exchange program, an option for 54,094 shares of the issuer's common stock granted to the reporting person on January 4, 2016. In exchange, the reporting person received a replacement option, for 30,911 shares, having an exercise price of \$4.40 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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