Yurasov Sergey Form 4 July 19, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Yurasov Sergey

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

IMMUNE DESIGN CORP. [IMDZ]

3. Date of Earliest Transaction (Month/Day/Year)

C/O IMMUNE DESIGN CORP., 1616 EASTLAKE AVE. E., **SUITE 310** 

(First)

(Street)

4. If Amendment, Date Original

07/17/2018

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

(Check all applicable)

Sr. VP, Clinic. Devel. & CMO

10% Owner

Other (specify

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Director

X\_ Officer (give title

SEATTLE, WA 98102 (City) (State)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) (Instr. 4)

Ownership (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount Underlying Securitie

### Edgar Filing: Yurasov Sergey - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Stock Option (Rt to Buy)	\$ 7.58	07/17/2018		D		52,768	<u>(1)</u>	10/01/2025	Common Stock	52,76
Stock Option (Rt to Buy)	\$ 4.4	07/17/2018		A	35,179		(3)	07/17/2025	Common Stock	35,17
Stock Option (Rt to Buy)	\$ 7.58	07/17/2018		D		87,232	<u>(1)</u>	10/01/2025	Common Stock	87,23
Stock Option (Rt to Buy)	\$ 4.4	07/17/2018		A	58,155		(3)	07/17/2025	Common Stock	58,15
Stock Option (Rt to Buy)	\$ 5.6	07/17/2018		D		625	(5)	01/04/2027	Common Stock	625
Stock Option (Rt to Buy)	\$ 4.4	07/17/2018		A	417		<u>(7)</u>	07/17/2025	Common Stock	417
Stock Option (Rt to Buy)	\$ 5.6	07/17/2018		D		29,375	(5)	01/04/2027	Common Stock	29,37
Stock Option (Rt to Buy)	\$ 4.4	07/17/2018		A	19,584		<u>(7)</u>	07/17/2025	Common Stock	19,58

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Sr. VP, Clinic. Devel. & CMO

Reporting Owners

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Yurasov Sergey C/O IMMUNE DESIGN CORP. 1616 EASTLAKE AVE. E., SUITE 310 SEATTLE, WA 98102

## **Signatures**

/s/ Stephen R. Brady, Attorney-In-Fact

07/19/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

having an exercise price of \$4.40 per share.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option provided for vesting of 1/4th of the shares underlying the option on October 1, 2017 and the remainder of the shares at a rate of 1/36th per month thereafter.
- On July 17, 2018, the issuer canceled, pursuant to the issuer's option exchange program, an option for 52,768 shares of the issuer's common stock granted to the reporting person on October 1, 2016. In exchange, the reporting person received a replacement option, for
- (2) common stock granted to the reporting person on October 1, 2016. In exchange, the reporting person received a replacement option, for 35,179 shares, having an exercise price of \$4.40 per share.
- (3) The option vests in twenty four (24) equal consecutive monthly installments beginning on August 17, 2018.
  - On July 17, 2018, the issuer canceled, pursuant to the issuer's option exchange program, an option for 87,232 shares of the issuer's
- (4) common stock granted to the reporting person on November 1, 2016. In exchange, the reporting person received a replacement option, for 58,155 shares, having an exercise price of \$4.40 per share.
- (5) The option provided for 1/4th of the shares underlying the option on January 4, 2018 and the remainder of the shares underlying the option at a rate of 1/36th per month thereafter.
- On July 17, 2018, the issuer canceled, pursuant to the issuer's option exchange program, an option for 625 shares of the issuer's common (6) stock granted to the reporting person on January 4, 2017. In exchange, the reporting person received a replacement option, for 417 shares,
- (7) The option vests in thirty six (36) equal consecutive monthly installments beginning on August 17, 2018.
  - On July 17, 2018, the issuer canceled, pursuant to the issuer's option exchange program, an option for 29,375 shares of the issuer's
- (8) common stock granted to the reporting person on January 4, 2017. In exchange, the reporting person received a replacement option, for 19,584 shares, having an exercise price of \$4.40 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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